

# CAS Self-Assessment Guide

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**MASTER'S LEVEL STUDENT AFFAIRS PROFESSIONAL  
PREPARATION PROGRAMS  
2015**

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Council for the Advancement of Standards in Higher Education. (2015). *CAS self-assessment guide for master's level student affairs professional preparation programs*. Washington, DC: Author.

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## MASTER'S LEVEL STUDENT AFFAIRS PROFESSIONAL PREPARATION PROGRAMS CAS Contextual Statement

Master's-level professional preparation programs provide individuals entering the field of student affairs the requisite knowledge, perspectives, and skills to serve students and foster their learning and development. Aside from acquiring skills and knowledge, students that complete a master's program in student affairs are socialized into the field. They learn about the culture of the profession and the values that guide it. These preparation programs may have different foci, including administration, counseling, or student development; all, however, should prepare students to work in a wide variety of functional areas within higher education. Although this diversity of preparation programs is valuable to the field, a set of shared standards is essential to serve as a foundation for all programs. The primary value of the CAS student affairs professional preparation standard is to assist in ensuring that an academic program is offering what the profession, through representative consensus, has deemed necessary to graduate prepared student affairs and student services professionals.

Standards for the professional education of student affairs practitioners have largely been established during the past five decades. In 1964 the Council of Student Personnel Associations in Higher Education (COSPA) drafted *A Proposal for Professional Preparation in College Student Personnel Work*, which subsequently evolved into *Guidelines for Graduate Programs in the Preparation of Student Personnel Workers in Higher Education*, dated March 5, 1967. The change in title from "proposal for" in the 1964 version to "guidelines for" in the fourth revision exemplifies the movement from a rather tentative statement of what professional preparation should entail to one asserting specific guidelines that should be followed in graduate education programs. A final statement, popularly recognized as the COSPA Report, was actually published some time after the dissolution of the Council (1975).

During this period, others concerned with the graduate education of counselors and other helping professionals established counselor education standards and explored the possibilities for accrediting graduate academic programs. A moving force in this effort was the Association of Counselor Educators and Supervisors (ACES), a division of the American Personnel and Guidance Association (APGA), now called the American Counseling Association (ACA). In 1978, ACES published a set of professional standards to accredit counseling and personnel services education programs. APGA recognized ACES as its official counselor education accrediting body and moved to establish an inter-association committee to guide counselor education program accreditation activity and the review and revision of the ACES/APGA preparation standards. In response to this initiative, the American College Personnel Association (ACPA) established an *ad hoc* Preparation Standards Drafting Committee to create a set of standards designed to focus on the special concerns of student affairs graduate education. At its March 1979 meetings, the ACPA Executive Council adopted the Committee's statement entitled "Standards for the Preparation of Counselors and College Student Affairs Specialists at the Master's Degree Level."

ACPA then initiated a two-pronged effort in the area of professional standards. One was a collaborative effort with the National Association of Student Personnel Administrators (NASPA) to establish a profession-wide program of standards creation, and the other was a concerted effort to work under the then-APGA organizational umbrella to establish an agency for the accreditation of counseling and student affairs preparation programs. The former initiative resulted in the creation of the Council for the Advancement of Standards in Higher Education (CAS) and the latter in the establishment of the Council for the Accreditation of Counseling and Other Related Educational Programs (CACREP), an academic program-accrediting agency. Both the CAS and CACREP professional preparation standards reflected the influence of the ACPA standards for student affairs preparation.

The foregoing process was a prelude to the *CAS Masters-Level Student Affairs Professional Preparation Program Standards and Guidelines*, which follow. A major value of graduate standards is that they provide criteria by which an academic program of professional preparation can judge its educational efforts. Whether used for accreditation or program development and improvement purposes, standards provide faculty, staff, administrators, and students alike a tool to measure a program's characteristics against a set of well-conceived criteria designed to ensure educational quality.

The CAS standards for student affairs graduate programs were revised in 2012 and offer standards and guidelines based on profession-wide inter-association collaboration. Topics addressed in the standards include the program's mission; recruitment and admission policies and procedures; curriculum policies; pedagogy; the curriculum; equity and access; academic and student support; professional ethics and legal responsibilities; and program evaluation. The standards recognize that each program must also be responsive to the host department and institution. The standards support the need for life-long learning and professional development for all professionals. The ACPA and NASPA document of *Professional Competency Areas for Student Affairs* (2011) is a useful guide for professional preparation and professional development.

Curriculum standards are organized around Foundation Studies, Professional Studies, and Supervised Practice. Foundation Studies pertains to the historical and philosophical foundations of higher education and student affairs. This includes historical documents of the profession such as *Learning Reconsidered I and II* (2004, 2006), *Student Personnel Point of View* (ACE, 1937), *Return to the Academy* (Brown, 1972), the *Student Learning Imperative* (ACPA, 1996), *Principles of Good Practice* (Blimling & Whitt, 1999), *Powerful Partnerships* (Joint Task Force, 1998), and *Reasonable Expectations* (Kuh et al, 1994) among others. Professional Studies pertains to student development theory, student characteristics, the effects of college on students, individual and group interventions, the organization and administration of student affairs, and assessment, evaluation, and research. Supervised Practice includes practica, internships, and externships under professionally supervised work conditions.

There are a number of emerging trends influencing today's professional preparation programs. Students entering these programs are more diverse than they have been in the past. More adult students have entered preparation programs as advanced degrees become more important for promotion and advancement. Changes in higher education, such as the increased emphasis on accountability, including assessment of graduate learning outcomes, are influencing curriculum. The changing demographics of college students have required expanded discussion in areas such as student learning and development theory, program development, and cultural competency. Graduates of professional preparation programs need to be ready to document the impact of programs and services they provide. The tools of the profession are changing; technology from hardware to software to social media is having a significant impact on how we connect with students and do our work. Finally, there is an emerging discussion of professionalism in the field, including consideration of the ACPA/NASPA Professional Competencies. Standards for preparation programs provide anchors guiding students with a strong foundation while adapting to emerging issues affecting the field.

Two groups that exist to support and promote the preparation of professionals are the Commission for Professional Preparation of ACPA and NASPA's Faculty Fellows. The Association for the Study of Higher Education (ASHE) has a commission on graduate preparation that has drafted standards for the study of higher education as a discipline. Through the use of the CAS Professional Preparation standards, programs can ensure that what the field has deemed appropriate education will produce succession student affairs and services professionals.

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# INTRODUCTION AND INSTRUCTIONS

## CAS Self-Assessment Guide

The *Self-Assessment Guides* (SAG) translate functional area CAS standards and guidelines into tools for conducting self-study. Educators can use this SAG to gain informed perspectives on the strengths and deficiencies of their programs and services as well as to plan for improvements. Grounded in the reflective, self-regulation approach to quality assurance in higher education endorsed by CAS, this SAG provides institutional, divisional, departmental, and unit leaders with a tool to assess programs and services using currently accepted standards of practice.

The *Introduction* outlines the self-assessment process, describes how to complete a programmatic self-study, and is organized into three sections:

- I. Self-Assessment Guide Organization and Process
- II. Rating Examples
- III. Formulating an Action Plan, Preparing a Report, and Closing the Loop

The introduction is followed by the *Self-Assessment Worksheet*, which presents the CAS standards for the functional area and incorporates a series of criterion measures for rating purposes.

### I. Self-Assessment Guide and Process

CAS developed and has incorporated a number of common criteria that have relevance for each and every functional area, no matter what its primary focus. These common criteria are referred to as “General Standards,” which form the core of all functional area standards. CAS standards and guidelines are organized into 12 components, and the SAG workbook corresponds with the same sections:

- |                                     |   |
|-------------------------------------|---|
| Part 1. Mission                     | Part 7. Diversity, Equity, and Access   |
| Part 2. Program                     | Part 8. Internal and External Relations |
| Part 3. Organization and Leadership | Part 9. Financial Resources             |
| Part 4. Human Resources             | Part 10. Technology                     |
| Part 5. Ethics                      | Part 11. Facilities and Equipment       |
| Part 6. Law, Policy, and Governance | Part 12. Assessment                     |

For each set of standards and guidelines, CAS provides a Self-Assessment Guide (SAG) that includes a recommended comprehensive self-study process for program evaluation. Seven basic steps to using a SAG are suggested for implementing a functional area self-study. The following self-study process is recommended.

<p style="text-align: center;">1. Plan the Process</p> <p>Map out steps for process, develop timeline, build buy-in with all stakeholders, and explicitly identify desired outcomes of the self-study</p>	<p style="text-align: center;">5. Develop an Action Plan</p> <p>Identify discrepancies, corrective action, and recommended steps (e.g., identify strengths, weaknesses, recommendations, benchmarks for achievement, resources, timeframe, and responsible individuals)</p>
<p style="text-align: center;">2. Assemble and Educate the Self-Assessment Team</p> <p>Determine who should be on the team and how to educate the team about the self-study process</p>	<p style="text-align: center;">6. Prepare a Report</p> <p>Identify audience for report(s); describe the self-study process, evidence gathering, rating process, and evaluations; summarize strengths and weaknesses; describe the action plan; and draft an executive summary</p>
<p style="text-align: center;">3. Identify, Collect, and Review Evidence</p>	<p style="text-align: center;">7. Close the Loop</p>

Define what constitutes evidence; then gather, collect, manage, and review evidence	Put action plans into practice; work to navigate politics and secure resources; identify barriers to overcome; and build buy-in to the program review results
4. Conduct and Interpret Ratings Using Evaluative Evidence Clarify team’s rating criteria; employ a process for rating [small group, individual, staff]; negotiate rating differences; and manage group ratings	

The first four steps in conducting self-assessment will lead you through planning your process, preparing your team, gathering evidence, and assigning ratings to the criterion measures.

- A. Plan the self-study process
- B. Assemble and educate self-study team(s)
- C. Identify, collect, and review documentary evidence
- D. Conduct ratings using evaluative evidence

*Step A: Plan the Self-Study Process*

Prior to beginning a program review, division and functional area leaders need to determine the area (or areas) to be evaluated and the reasons for the project. This may be dictated by institutional program review cycles or planning for accreditation processes, or it may result from internal divisional goals and needs. Explicitly identifying desired outcomes and key audiences for a self-study will help leaders facilitate a process that makes the most sense for the project.

Critical first phases of a program review include mapping out the planned steps for a program review and developing timelines. Leaders will also want to build buy-in with stakeholders of the functional area. In the initial planning stage of the self-study process it is desirable to involve the full functional area staff, including support staff members, knowledgeable students, and faculty members when feasible. This approach provides opportunity for shared ownership in the evaluation.

*Step B: Assemble and Educate the Self-Assessment Review Team*

The second step is to identify an individual to coordinate the self-assessment process. CAS recommends that the coordinator be someone other than the leader of the unit under review; this facilitates honest critique by the review team and enhances credibility of the final report. Once a leader is designated, members of the institutional community [e.g., professional staff members, faculty members, students] need to be identified and invited to participate. Whether a sole functional area or a full division is to be reviewed, the self-study team will be strengthened by the inclusion of members from outside the area(s) undergoing review.

In preparing the team for the self-study, it is imperative to train the team on the CAS standards, as well as self-assessment concepts and principles. CAS standards and guidelines are formulated by representatives of 41 higher education professional associations concerned with student learning and development. The CAS standards represent essential practices; the CAS guidelines, on the other hand, are suggestions for practice and serve to elaborate and amplify standards through the use of suggestions, descriptions, and examples. Guidelines can often be employed to enhance program practice. Following a long-standing CAS precedent, the functional area standards and guidelines—presented as an appendix to the self-assessment instrument—are formatted so that standards (i.e., essentials of quality practice) are printed in bold type. Guidelines, which complement the standards, are printed in light-face type. Standards use the auxiliary verbs “must” and “shall” while guidelines use “should” and “may.”

In this self-assessment instrument, the CAS standards have been translated into criterion measures and grouped into subcategories for rating purposes. The criterion measures are not designed to focus on discrete ideas; rather, the measures are designed to capture the major ideas and elements reflected in the standards. For each of the 12 component parts, team members will rate clusters of criterion measures. If the assessment team decides to incorporate one or more of the guidelines into the review process, each guideline can be similarly translated into a measurable statement to facilitate rating.

As a group, the review team should examine the standards carefully and read through the entire self-assessment guide before beginning to assign ratings. It may be desirable for the team, in collaboration with the full staff, to discuss the meaning of each standard. Through this method, differing interpretations can be examined and agreement generally reached about how the standard will be interpreted for purposes of the self-assessment.

### *Step C: Identify, Collect, and Review Documentary Evidence*

Collecting and documenting evidence of program effectiveness is an important step in the assessment process. No self-assessment is complete without relevant data and related documentation being used. It is good practice for programs to collect and file relevant data routinely, which can then be used to document program effectiveness over time. Available documentation should be assembled by the unit under review and provided to the review team at the outset of the study. The team may request additional information as needed as the review is conducted.

Documentary evidence often used to support evaluative judgments includes:

- *Student Recruitment and Marketing Materials*: brochures and other sources of information about the program, participation policies and procedures, and reports about program results and participant evaluations
- *Program Documents*: mission statements, catalogs, brochures and other related materials, staff and student manuals, policy and procedure statements, evaluation and periodic reports, contracts, and staff memos
- *Institutional Administrative Documents*: statements about program purpose and philosophy relative to other educational programs, organizational charts, financial resource statements, student and staff profiles, and assessment reports
- *Research, Assessment, and Evaluation Data*: needs assessments, follow-up studies, program evaluations, outcome measures and methodologies, and previous self-study reports
- *Staff Activity Reports*: annual reports; staff member vitae; service to departments, colleges, university, and other agencies; evidence of effectiveness; scholarship activities, and contributions to the profession
- *Student Activity Reports*: developmental transcripts, portfolios, and other evidence of student contributions to the institution, community, and professional organizations; reports of special student accomplishments; and employer reports on student employment experiences

In the SAG, each section provides recommended evidence and documentation that should be collected and compiled prior to conducting ratings. The evidence collected is likely applicable across numerous sections.

Raters can best make judgments about the program expectations articulated in the standards when they have a variety of evidence available. Multiple forms of evidence should be reviewed and reported in the narrative section of the SAG worksheets. Through the rating process, a self-study team may identify a need to obtain additional



information or documentation before proceeding, in order to lend substance to judgments about a given assessment criterion. Evidence and documentation should be appended and referenced in the final self-assessment report.

*Step D: Conduct and Interpret Ratings Using Evaluative Evidence*

When the program review team has gathered and reviewed necessary evidence, they will be able to assign and interpret ratings to individual criterion measures, following three steps.

- 1) Rate Criterion Measures
  - a) Team members individually rate criterion measures based on their understanding of the evidence.
  - b) Team discusses and assigns collective ratings for criterion measures.
  
- 2) Provide Narrative Rationale
  - a) Document the reasoning and evidence for the rating assigned to each subsection, in the space provided for *Rationale*.
  - b) Explain what evidence has been collected and reviewed to support individual and/or team ratings and judgments.
  - c) Provide information for follow-up and relevant details about ratings (e.g., if *Partly Meets* is assigned as a rating, what aspects of the program or service do and do not meet which standards statements).
  
- 3) Answer Overview Questions (In the Instrument)
  - a) Respond, in writing in the space provided, to the *Overview Questions* that immediately follow the rating section of each of the 12 components.
  - b) Use answers to the *Overview Questions*, which are designed to stimulate summary thinking about overarching issues, to facilitate interpretation of the ratings and development of the self-study report.

Assessment criterion measures are used to judge how well areas under review meet CAS standards. These criterion measures are designed to be evaluated using a 4-point rating scale. In addition to the numerical rating options, *Does Not Apply* (DNA) and *Insufficient Evidence/Unable to Rate* (IE) ratings are provided. This rating scale is designed to estimate broadly the extent to which a given practice has been performed.

CAS CRITERION MEASURE RATING SCALE

DNA	IE	0	1	2	3
Does Not Apply	Insufficient Evidence/ Unable to Rate	Does Not Meet	Partly Meets	Meets	Exceeds

Under rare circumstances, it may be determined that a criterion measure used to judge the standard is not applicable for the particular program (e.g., a single sex or other unique institution that cannot meet a criterion measure for that reason). In such instances, raters may use a DNA rating and, in the self-study report, describe their rationale for excluding the practice in the criterion measure. The IE response can be used when relevant data are unavailable to support a judgment. When either the DNA or the IE ratings are used, an explanatory note should be provided in the report. Items rated with 0 should generate careful group consideration and appropriate follow-up action.

Program leaders may wish to incorporate additional criterion measures, such as selected CAS guidelines or other rating scales, into the procedures before the self-assessment process begins. Such practice is encouraged, and the SAG instrument can be amended to incorporate additional criterion measures for judging the program. In such instances, additional pages to accommodate the additional criterion measures may be required.

Whatever procedures are used to arrive at judgments, deliberate discussions should occur about how to initiate the rating process and select the optimal rating strategy. In such discussions, it is expected that disagreements among team members will occur and that resulting clarifications will inform all participants. It is important that the team achieve consensual resolution of such differences before proceeding with individual ratings.

CAS suggests a two-tiered (individual and group) judgment approach for determining the extent to which the program meets the CAS standard. First, the self-assessment team members (and functional area staff members, if desired) individually should rate the clusters of criterion measures using separate copies of the CAS Self-Assessment Guide. In addition, they will need to document their reasoning and evidence for the rating assigned to each subsection in the space provided for *Rationale*. This individualized rating procedure is then followed by a collective review and analysis of the individual ratings.

The individual ratings should be reviewed, discussed, and translated into a collective rating by the team; then the team is ready to move to the interpretation phase of the self-assessment. Interpretation typically incorporates discussion among team members to assure that all aspects of the program were given fair and impartial consideration prior to a final collective judgment. At this point, persistent disagreements over performance ratings may call for additional data collection.

After the team review is completed, a meeting with relevant administrators, staff members, and student leaders should be scheduled for a general review of the self-assessment results. The next step, including discussion of alternative approaches that might be used to strengthen and enhance the program, is to generate steps and activities to be incorporated into an action plan. This step is best done by the unit staff, informed by the results of the review and, when feasible, in consultation with the review team. The Work Forms will guide this process.

## II. Rating Examples

### *Rating Standard Criterion Measures*

All CAS standards, printed in bold type, are viewed as being essential to a sound and relevant program or service that contributes to student learning and development. Many of the statements contained in CAS standards incorporate multiple criteria that have been grouped for rating purposes. Consequently, raters may need to judge several standards statements through a single criterion measure. Using the “Ethics” standards as an example, the following illustrates how criterion measures are grouped into subcategories for rating.

<b>Part 5. ETHICS</b>					
<b>Suggested Evidence and Documentation:</b>					
1. Program code or statement of ethics					
2. Ethics statements from relevant functional area professional associations					
3. Personnel policies, procedures and/or handbook					
4. Student code of conduct					
5. Operating policies and procedures related to human subjects research (Institutional Review Board, IRB)					
6. Minutes from meetings during which staff reviewed and discussed ethics					
<b>Criterion Measures:</b>					
<b>DNA</b>	<b>IE</b>	<b>0</b>	<b>1</b>	<b>2</b>	<b>3</b>
Does Not Apply	Insufficient Evidence/ Unable to Rate	Does Not Meet	Partly Meets	Meets	Exceeds
<input type="checkbox"/> <b>5.1 Ethical Standards</b> <ul style="list-style-type: none"> <li>Programs and services review applicable professional ethical standards and adopt or develop and implement appropriate statements of ethical practice.</li> <li>Programs and services publish and adhere to statements of ethical practice, ensure their periodic review, and orient new personnel to relevant statements of ethical practice and related institutional policies.</li> </ul> <p><i>Rationale:</i></p>					
<input type="checkbox"/> <b>5.2 Statement of Ethical Standards</b> <ul style="list-style-type: none"> <li>Statements of ethical standards specify that programs and services personnel respect privacy and maintain confidentiality in communications and records as delineated by privacy laws.</li> </ul>					

### *Using Guidelines to Make Judgments about the Program*

As discussed above, program leaders may wish to include selected *CAS Guidelines* to be rated along with the standards. To accomplish this, criterion measure statements must be written for the guidelines selected. The self-study team can readily create statements to be judged as part of the rating process. Programs generally considered in compliance with the standards especially can benefit by using guidelines because guidelines typically call for enhanced program quality.

Not all programs under review will incorporate guidelines to be rated as part of their self-studies. Even though the guidelines are optional for rating purposes, raters are strongly encouraged to read and review them as part of the training process. When *CAS Guidelines* or other criterion measures are rated, they should be treated as if they were standards.

### III. Formulating an Action Plan, Preparing a Report, and Closing the Loop

The final three steps in the self-assessment process help a review team and unit plan for and take action using the information garnered through the review of documentary evidence and rating process.

#### *Step E: Formulating an Action Plan*

Typically, the assessment process will identify areas where the program is not in compliance with the standards. Action planning designed to overcome program shortcomings and provide program enhancements must then occur. Following is an outline of recommended steps for establishing a comprehensive plan of action using the CAS self-assessment work forms. Space is provided in the SAG for recording relevant information.

- 1) Resolve Rating Discrepancies (Work Form A)
  - a) Identify criterion statements for which there is a substantial rating discrepancy.
  - b) Discuss these items and come to a resolution or final decision. Note any measures where consensus could not be reached.
- 2) Identify Areas of Program Strength (Work Form B)
  - a) Identify criterion measure ratings where *strength* in performance or accomplishment was noted (i.e., program exceeds criterion with a rating of 4).
- 3) Identify Areas for Improvement (Work Form B)
  - a) Identify criterion measures where program weaknesses (i.e., program shortcomings that fail to meet criterion measures, and received a rating of 0 or 1) were noted.
- 4) Recommend Areas for Unit Action (Work Form C)
  - a) Note items that need follow-up action for improvement and indicate what requires action.
  - b) This is the last form to be completed by the review team.
- 5) Prepare the Action Plan (Work Form D)
  - a) This step should be completed by the unit being reviewed.
  - b) Use the items requiring attention listed in Work Form C to formulate a brief action plan. The focus and intended outcomes of the next steps to be taken should be identified.
- 6) Write Program Action Plan (Work Form E)
  - a) List each specific action identified in the self-study that would enhance and strengthen services.
  - b) Determine the actions needed to improve for each practice.
  - c) Identify responsible parties to complete the action steps.
  - d) Set dates by which specific actions are to be completed.
- 7) Prepare Report
  - a) Prepare a comprehensive action plan for implementing program changes.
  - b) Identify resources (i.e., human, fiscal, physical) that are essential to program enhancement.
  - c) Set tentative start-up date for initiating a subsequent self-study.

#### *Step F: Preparing a Report*

To complete the process, a summary document should be produced that (a) explains the mission, purpose, and philosophy of the program; (b) reviews the outcome of the assessment; and (c) recommends specific plans for action.

In addition, depending on the report's audience, describe the process, evidence gathering, ratings, and evaluations, and summarize strengths and weaknesses.

*Step G: Closing the Loop*

Finally, to close the loop on a program's self-study process, functional area staff members must implement the recommended changes to enhance the quality of their program. In this final step, the staff endeavors to put action plans into practice. In some cases, there will be institutional politics to be navigated; continued support from functional area leaders remains essential. Staff members will want to work collectively to secure resources, identify barriers to implementation, and build stakeholder buy-in to the results. CAS recommends that closing the loop on a self-study process be integrated into regular staff meetings, individual supervision, trainings, and annual reports. A key to successfully using program review in post-secondary student services is weaving the entire process, from planning through taking action, into the fabric of the functional area, departmental, and divisional culture.

## MASTER'S LEVEL STUDENT AFFAIRS PROFESSIONAL PREPARATION PROGRAMS CAS Self-Assessment Guide

### Part 1: MISSION AND OBJECTIVES

Suggested Evidence and Documentation:

1. Current mission statement, brief description of how it was developed, and date of last review
2. Additional goals, values, and statements of purpose
3. Description and copies (if applicable) of where mission statement is disseminated (e.g., included in operating and personnel policies, procedures and/or handbook, hanging in office common space, on website, in strategic plan, and other promotional materials)
4. Institutional/divisional mission statements (e.g., map program mission to broader mission statements)
5. Any additional professional standards aligned with program/service (e.g., standards promoted by functional area organizations)
6. Institutional demographics, description of student population served, and information about community setting

Criterion Measures:

DNA	IE	0	1	2	3
Does Not Apply	Insufficient Evidence/ Unable to Rate	Does Not Meet	Partly Meets	Meets	Exceeds

1.1 Mission

- The mission of the Master's Level Student Affairs Professional Preparation Program is to prepare persons through graduate education for professional positions in student affairs practice in higher education.
- The professional preparation program mission is consistent with the mission of the institution offering the program.

*Rationale:*

1.2 Mission Statement Implementation and Review

- The professional preparation program publishes a clear statement of mission, objectives, and desired outcomes prepared by the program faculty in consultation with collaborating student affairs professionals and relevant advisory committees.
- The mission statement is readily available to current and prospective students and to appropriate faculty and staff members and agencies. It supports accurate assessment of student learning and program effectiveness. The statement is reviewed periodically.

*Rationale:*

Overview Questions:

1. How does the professional preparation program mission embrace student learning and development?
2. In what ways does the professional preparation program mission complement the mission of the institution?
3. To what extent is the mission used to guide practice?

## Part 2: RECRUITMENT AND ADMISSION

### Suggested Evidence and Documentation:

1. Promotional material (brochures/sources of information about the program, catalogs, brochures, staff and student handbooks, program and institutional websites)
2. Application for admission
3. Retention and graduation information for past years
4. Employment rates of graduates and list of positions held post-graduation.
5. Documentation outlining selection criteria

### Criterion Measures:

DNA	IE	0	1	2	3
Does Not Apply	Insufficient Evidence/ Unable to Rate	Does Not Meet	Partly Meets	Meets	Exceeds

#### 2.1 Program Materials

- Accurate descriptions of the Master’s Level Student Affairs Professional Preparation Program, including the qualifications of its faculty and records of students' persistence, degree completion, and subsequent study and employment, is readily available for review by both current and prospective students.
- Admissions materials are about criteria for particular student status, such as full-time students, currently employed students, or students seeking distance learning opportunities, and the manner in which such preferences may affect admissions decisions.
- Design of admissions materials, from informational brochures to Internet resources and forms, ensures they are useable by persons utilizing varied access means and tools.

*Rationale:*

#### 2.2 Admission Practices

- Students selected for admission to the professional preparation program meet the institution's criteria for admission to graduate study.
- Professional preparation program faculty members make admission decisions using written criteria that are disseminated to all faculty members and to prospective students.
- Admission goals and practices reflect the need to achieve a diverse group of program students with recruitment of underrepresented student applicants where deemed appropriate by the professional preparation program.
- Faculty members in institutions that are signatories to the Council for Graduate School’s *Resolution Regarding Graduate Scholars, Fellows, Trainees and Assistants* comply with the April 15<sup>th</sup> acceptance deadline for graduate student admission decisions, including graduate student employment or fellowship offers made by the graduate program.

*Rationale:*

### Overview Questions:

1. To what extent do recruitment materials include the information listed above?
2. What recruitment information should be included in materials but is not?
3. How are admissions decisions made? Is the process and criteria known to faculty and prospective students?
4. Do recruitment practices lead to a diverse pool of applicants, including underrepresented students?

- How many acceptance decisions or assistantship decisions are made before April 15?

### Part 3: CURRICULUM POLICIES

Suggested Evidence and Documentation:

- Program mission, goals, and outcomes
- Personnel and student handbook(s), policies and procedures, and organizational chart(s)
- Course syllabi
- Faculty profiles or resumes, including demographic characteristics, educational background, and previous experience
- Personnel position descriptions, expectations, and performance review templates
- Periodic reports, contracts, and personnel memos

Criterion Measures:

DNA	IE	0	1	2	3
Does Not Apply	Insufficient Evidence/ Unable to Rate	Does Not Meet	Partly Meets	Meets	Exceeds

#### 3.1 Written Policies and Materials

- The Master's Level Student Affairs Professional Preparation Program specifies its curriculum and graduation requirements in writing and distributes them to prospective students.
- The professional preparation program conforms to institutional policy and must be fully approved by the institution's administrative unit responsible for graduate programs.
- Any revisions to the publicized program of studies are published and distributed to students in a timely fashion. Course syllabi are available that reflect purposes, teaching/learning methods, and outcome objectives.

*Rationale:*

#### 3.2 Teaching Faculty Credentials

- The institution employs faculty members with credentials that reflect professional knowledge, ability, and skill to teach, advise, produce scholarship, and supervise students.

*Rationale:*

#### 3.3 Curricular Requirements

- To accomplish the goals of the curriculum, the professional preparation program includes an equivalent total of 40-48 semester credit hours or two years of full-time academic study.
- Successful completion of the professional preparation program is based on achievement of clearly articulated learning goals and outcomes.
- The professional preparation program demonstrates that the full curriculum (required by these standards and guidelines) is covered and that graduates have mastered relevant competencies.
- There is a sequence of basic to advanced studies. Any required associated learning experiences are included in the required professional preparation program of studies.
- Opportunity for students to develop understandings and skills beyond minimum program requirements are provided through elective course options, supervised individual study, and/or enrichment opportunities.



- An essential feature of the professional preparation program is to foster an appreciation of intellectual inquiry in faculty members and students, as evidenced by active involvement in producing and using research, evaluation, and assessment information in student affairs.

*Rationale:*

#### 3.4 Distance Education

- Distance learning, including distance degree programs, comply with all standards herein.

*Rationale:*

Overview Questions:

1. In what ways is the curriculum shared with prospective and matriculated students?
2. How do students access course syllabi? Can these be accessed by students outside of the current course they are taking?
3. To what extent do the faculty have the education, experience, or expertise to teach their respective courses?
4. In what ways do students demonstrate their competence in achieving program learning goals? How are those processes/products evaluated?
5. What opportunities have students engaged in to develop knowledge and skills beyond minimum requirements of the programs?
6. What types of research, evaluation, or assessment projects in student affairs have students been involved?

## Part 4: PEDAGOGY

Suggested Evidence and Documentation:

1. Faculty teaching philosophy statements
2. Syllabi from core professional preparation program courses
3. Student evaluations
4. Peer evaluations/observation records
5. Faculty teaching evaluations

Criterion Measures:

DNA	IE	0	1	2	3
Does Not Apply	Insufficient Evidence/ Unable to Rate	Does Not Meet	Partly Meets	Meets	Exceeds

#### 4.1 Pedagogical Philosophy

- Each professional preparation program and all faculty members indicate their pedagogical philosophy and strategies in the appropriate program media.

*Rationale:*

#### 4.2 Teaching Approaches

- Faculty members accommodate multiple student learning styles.
- Teaching approaches that are employed lead to the accomplishment of course objectives and achievement of student learning outcomes.
- Teaching approaches are evaluated by academic peers for the purpose of program improvement.

Rationale:

Overview Questions:

1. What is the teaching philosophy of the program?
2. To what extent are individual faculty teaching philosophies included on course syllabi or other course materials?
3. In what ways to faculty accommodate different learning styles and student needs?
4. How is faculty teaching evaluated?

## Part 5: THE CURRICULUM

Suggested Evidence and Documentation:

1. Descriptions and documentation of program courses
2. Overview of curriculum plans, course maps
3. Syllabi from program courses
4. Supervised practice agreements
5. Supervised practice student evaluations
6. Student portfolios, projects, papers, and other means of knowledge demonstration or application

Criterion Measures:

DNA	IE	0	1	2	3
Does Not Apply	Insufficient Evidence/ Unable to Rate	Does Not Meet	Partly Meets	Meets	Exceeds

### 5.1 Program Components

- The Master’s Level Student Affairs Professional Preparation Program of study includes (a) foundational studies, (b) professional studies, and (c) supervised practice. Demonstration of necessary knowledge and skill in each area is required of program graduates.
- Foundational studies include the study of the historical and philosophical foundations of higher education and student affairs.
- Professional studies include (a) student learning and development theories, (b) student characteristics and the effects of college on students, (c) individual and group strategies, (d) organization and administration of student affairs, and (e) assessment, evaluation, and research.
- Supervised practice includes practicums and/or internships consisting of supervised work involving at least two distinct experiences.
- The professional preparation program specifies the structure of its degree options including which courses are considered core, thematic, required, and elective.

Rationale:

### 5.2 Accessibility

- Adoption and selection of instructional materials gives consideration to accessibility features to ensure they are useable by all students.

Rationale:



### 5.3 Foundational Studies

- The curriculum includes study in the historical, philosophical, ethical, cultural, and research foundations of higher education that informs student affairs practice.
- Graduates are able to reference historical and current documents that state the philosophical foundations of the profession and to communicate the relevance of these documents to current student affairs practice.
- Graduates are able to articulate the values of the profession that are stipulated or implied in these documents and indicate how these values guide practice.
- Graduates are knowledgeable about and able to apply a code of ethics or ethical principles sanctioned by a recognized professional organization that provides ethical guidance for their work.

*Rationale:*



### 5.4 Professional Studies

- The curriculum includes studies of basic knowledge for practice.
- The curriculum encompasses at least five related areas of study including (a) student learning and development theories; (b) student characteristics and effects of college on students; (c) individual and group strategies; (d) organization and administration of student affairs; and (e) assessment, evaluation, and research.
- Communication skills and use of technology as a learning tool are emphasized in the professional studies areas.

*Rationale:*



### 5.5 Student Learning and Development Theory

- The curriculum includes studies of student development theories and research relevant to student learning and personal development.
- There is extensive examination of theoretical perspectives that describe students' growth in the areas of intellectual, moral, ego, psychosocial, career, and spiritual development; racial, cultural, ethnic, gender, abilities, socioeconomic status, and sexual identity; the intersection of multiple identities; and learning styles throughout the late adolescent and adult lifespan.
- The curriculum includes studies of collegiate environments and how person-environment interactions affect student learning and development.
- Graduates are able to demonstrate the ability to use and critique appropriate theory to understand, support, and advocate for student learning and development by assessing needs and creating opportunities for learning and development.

*Rationale:*



### 5.6 Student Characteristics and Effects of College on Students

- The curriculum includes studies of student characteristics, how such attributes influence student educational and developmental needs, and effects of the college experience and institutional characteristics on student learning and development.
- Graduates are able to demonstrate knowledge of how student learning and learning opportunities are influenced by student characteristics and by collegiate environments so that graduates can design and evaluate learning experiences for students.

*Rationale:*

- 5.7 Individual and Group Strategies
- The curriculum includes studies, techniques, and methods of advising and helping skills as well as assessing, designing, implementing, and evaluating developmentally appropriate strategies with individuals and organizations.
  - Graduates are able to demonstrate knowledge and skills necessary to design and evaluate effective educational interventions for individuals and groups.
  - Graduates are able to identify and appropriately refer persons who need additional resources.

*Rationale:*

- 5.8 Organization and Administration of Student Affairs
- The curriculum includes studies of organizational, management, and leadership theory and practice; student affairs functions, organizational models, and partnerships; legal issues in higher education; human and organizational resources; and professional issues, ethics, and standards of practice in the context of diverse institutional types.
  - Graduates are able to identify and apply leadership, organizational, and management practices that assist institutions in accomplishing their missions.

*Rationale:*

- 5.9 Assessment, Evaluation, and Research
- The curriculum includes the study of assessment, evaluation, and research that centers on evidence-based practice to further accountability and continuous improvement. Studies include assessment planning and design, outcome development, both qualitative and quantitative research methodologies, measurement of learning processes and outcomes, assessment of environments and organizations, measurement of program and environment effectiveness, effective reporting, and critiques of published studies.
  - Graduates are able to critique a study or evaluation and be able to design, conduct, and report on a sound research study, assessment study, or program evaluation, all grounded in the appropriate literature. Graduates are able to use assessment results to inform and improve professional practice and student learning.
  - Graduates are aware of research ethics and legal implications of research, including the necessity of adhering to a human subjects review.

*Rationale:*

- 5.10 Structure for Supervised Practice
- A minimum of 300 hours of supervised practice, consisting of at least two distinct experiences, is required. Students gain exposure to both the breadth and depth of student affairs work. Students gain experience in developmental work with individual students and groups of students in program planning, implementation, or evaluation; staff training, advising, or supervision; and administration functions or processes.
  - Program prepares students for practicums and internships. Practicums and internship experiences are reserved for students who have successfully completed a sequence of courses

pertaining to basic foundational knowledge of professional practice, and these students demonstrate basic knowledge and skills in interpersonal communication, consultation, and referral skills. Students comply with all ethical principles and standards of appropriate professional associations.

- Graduates develop and maintain personal plans for professional development and habits that support life-long learning.

*Rationale:*



#### 5.11 Supervision for Practice

- Supervision is provided onsite by competent professionals, who are approved by, and working in cooperation with qualified program faculty members. Onsite supervisors provide direct regular supervision and evaluation of students' experiences and comply with all ethical principles and standards of the ACPA - College Student Educators International, NASPA – Student Affairs Administrators in Higher Education, and other recognized professional associations.
- Site supervisors are approved in advance by program faculty. Program faculty offer clear expectations of learning goals and supervision practices to site supervisors. Site supervisors involve students in developing reasonable, attainable, and measurable learning outcomes from their practical experiences.
- Supervision is limited to a small group of students per faculty member to enable close regular supervision. Students are supervised closely by faculty individually, in groups, or both.

*Rationale:*

Overview Questions:

1. What program courses and/or experiences address core curricular topics including (a) foundational studies, (b) professional studies, and (c) supervised practice?
2. What are demonstrated learning outcomes for courses related to foundational studies?
3. What are demonstrated learning outcomes for courses related to Student Learning and Development Theory?
4. What are demonstrated learning outcomes for courses related to Student Characteristics and Effects of College on Students?
5. What are demonstrated learning outcomes for courses related to Individual and Group Strategies?
6. What are demonstrated learning outcomes for courses related to Organization and Administration of Student Affairs?
7. What are demonstrated learning outcomes for courses related to Assessment, Evaluation, and Research?
8. What are demonstrated learning outcomes for courses related to Supervised Practice?
9. In what ways do students engage in supervised practice?
10. How many hours of supervised practice do students experience? How many experiences comprise these total hours?

## Part 6: EQUITY AND ACCESS

Suggested Evidence and Documentation:

1. Diversity and equal opportunity statements and policies
2. Goals and objectives related to diversity, equity, and access
3. Training plans and agendas for personnel
4. Lists of programs and curriculums related to diversity, equity, and access

5. Personnel policies, procedures, and/or handbook (specifically statements against harassment or discrimination)
6. Facilities audit
7. Assessment results such as participation rates, demographics, campus climate, and student needs

Criterion Measures:

DNA	IE	0	1	2	3
Does Not Apply	Insufficient Evidence/ Unable to Rate	Does Not Meet	Partly Meets	Meets	Exceeds

6.1 Inclusive Environments

- The Master’s Level Student Affairs Professional Preparation Program does not discriminate on the basis of ability; age; cultural identity; ethnicity; family educational history; gender identity and expression; nationality; political affiliation; race; religious affiliation; sex; sexual orientation; economic, marital, social, or veteran status; or any other basis included in institutional policies and codes and laws.
- The professional preparation program adheres to the spirit and intent of equal opportunity in all activities. The program fosters an inclusive community in which diversity is viewed as an ethical responsibility.
- The professional preparation program maintains an educational and work environment free from discrimination in accordance with law and institutional policy.

*Rationale:*

6.2 Structural Aspects of Equity, Access, and Inclusion

- The professional preparation program implements practices of universal design in its services, programs, and facilities.
- The professional preparation program is provided on a fair, equitable, and non-discriminatory basis in accordance with institutional policies and with all applicable statutes and regulations.
- The professional preparation program modifies or removes policies, practices, facilities, structures, systems, and technologies that create barriers access, discriminate, or produce inequities.
- The professional preparation program indicates in admissions materials convenience and encouragement for distance learners or working students must provide services, classes, and resources that respond to the needs of evening, part-time, distance, and commuter students.
- The professional preparation program recognizes the needs of distance learning students by providing appropriate and accessible services and resources or by assisting students to gain access to other appropriate services and resources in students' geographic regions.
- Consistent with the mission and goals, the professional preparation program takes action to remedy situations in which student enrollment and staffing are not representative of diversity.

*Rationale:*

Overview Questions:

1. How does the program ensure constituents experience a welcoming, accessible, and inclusive environment that is equitable and free from harassment?
2. How does the program address imbalance in participation among selected populations of students?
3. How does the program address imbalance in staffing patterns among selected populations of program personnel?

4. How does the program ensure cultural competence of its personnel to ensure inclusion in the program?
5. How does the program encourage and provide opportunities for ongoing professional development for its personnel?

**Part 7: ACADEMIC AND STUDENT SUPPORT**

Suggested Evidence and Documentation:

1. List and description of support services available to program students
2. Training agendas and schedules
3. Statement of staffing philosophy
4. Professional development activities
5. Personnel and student handbook(s), policies and procedures, and organizational chart(s)
6. Faculty profiles or resumes, including demographic characteristics, educational background, and previous experience
7. Personnel position descriptions, expectations, and performance review templates
8. Equipment inventory
9. Facilities audit and plans for renovations, additions, and enhancements
10. Budgets and the budget process
11. Financial statements and audit reports
12. Technology policies and procedures

Criterion Measures:

DNA	IE	0	1	2	3
Does Not Apply	Insufficient Evidence/ Unable to Rate	Does Not Meet	Partly Meets	Meets	Exceeds

- 7.1 Resources and Services to Support Students
- Institutions provide sufficient faculty and staff members, resource materials, advising, career services, student financial support, facilities, technology, and funding resources for the Master’s Level Student Affairs Professional Preparation Program.

*Rationale:*

- 7.2 Adequate Staffing
- The institution provides adequate faculty and support staff members for the various aspects of the student affairs professional preparation program.
  - The institution provides an academic program coordinator who is qualified by preparation and experience to manage the professional preparation program.
  - Faculty assignments demonstrate a commitment to preparing student affairs professionals. Sufficient full-time core faculty members are devoted to teaching and administering the professional preparation program to produce not only employable students but also students capable of designing, creating, and implementing learning opportunities.
  - At least one faculty member is designated full-time to the professional preparation program. Faculty are adequate for the student enrollment and nature of the program requirements.
  - The academic program has sufficient clerical and technical support staff.
  - Technical support is of sufficient quality and quantity to meet the technical needs of the professional preparation program. Equipment sufficient for electronic communications and Internet use is essential.

*Rationale:*

- 7.3 Faculty Knowledge, Skills, and Abilities
- Faculty members are skilled as teachers and knowledgeable about student affairs in general, plus current theory, research, and practice in areas appropriate to their teaching or supervision assignments.
  - Faculty members have current knowledge and skills appropriate for designing, conducting, and evaluating learning experiences using multiple pedagogies.
  - Faculty members specify how and when they are available to students in the professional preparation program.
  - Faculty members act in accordance with ethical principles and standards of good practice disseminated by recognized professional organizations.
  - Adjunct and part-time faculty are qualified and adequately trained to serve as teachers, advisors, and internship supervisors.
  - The institution provides opportunities and resources for the continuing professional development of professional preparation program faculty members.

*Rationale:*

- 7.4 Resource Materials
- Adequate resource materials are provided to support the curriculum.
  - Adequate library resources are provided for the program including current and historical books, periodicals, online journals, search mechanisms, and other media for the teaching and research aspects of the professional preparation program.
  - Library resources are accessible to all students and are selected carefully, reviewed, and updated periodically by the program faculty.
  - Research support is adequate for both program faculty and students.

*Rationale:*

- 7.5 Advising
- Faculty members provide high quality academic and professional advising.
  - Academic advising is viewed as a continuous process of clarification and evaluation.

*Rationale:*

- 7.6 Career Services
- The institution provides career assistance, either by institutional career services or by the professional preparation program faculty.

*Rationale:*

- 7.7 Student Financial Support
- Students are informed about the availability of graduate assistantships, fellowships, work-study, research funding, travel support, and other financial aid opportunities.

*Rationale:*



7.8 Facilities and Funding Resources

- The institution provides facilities accessible to all students and a budget that ensures continuous operation of all aspects of the professional preparation program.

*Rationale:*

Overview Questions:

1. What services are available for program students to assist them personally and academically?
2. In what ways are personnel qualifications examined, performance evaluated, and personnel recognized for exemplary performance?
3. How has the staffing model been developed to ensure successful program operations?
4. How does the program ensure that facilities, workspaces, and equipment are considered in decision-making?
5. How is the program intentional about space allocation and usage?
6. What is the funding strategy for the program, and why is this the most appropriate approach?
7. How does the program ensure fiscal responsibility, responsible stewardship, and cost-effectiveness?
8. If applicable, how does the program go about increasing financial resources?
9. How does the program ensure that relevant technology is available for all who are served by the program?
10. How does the program use technology to enhance the delivery of programs, resources, services and overall operations?
11. How does the program utilize technology to foster its learning outcomes?

Part 8: PROFESSIONAL ETHICS AND LEGAL RESPONSIBILITIES

Suggested Evidence and Documentation:

1. Program code or statement of ethics
2. Ethics statements from relevant functional area professional associations
3. Student and institutional codes of conduct
4. Operating policies and procedures related to human subjects research (Institutional Review Board, IRB)
5. Minutes from meetings during which staff reviewed and discussed ethics
6. Personnel policies, procedures and/or handbook
7. Contracts
8. Copies of related laws and legal obligations
9. Resources of professional liability insurance

Criterion Measures:

DNA	IE	0	1	2	3
Does Not Apply	Insufficient Evidence/ Unable to Rate	Does Not Meet	Partly Meets	Meets	Exceeds

8.1 Ethical Standards

- Faculty members in the Master’s Level Student Affairs Professional Preparation Program comply with institutional policies and ethical principles and standards of ACPA – College Student Educators International, NASPA – Student Affairs Administrators in Higher Education, American Association of University Professors, and the CAS Statement of Shared Ethical Principles.

*Rationale:*

- 8.2 Teaching Ethics to Students
- Ethical expectations of graduate students are disseminated in writing on a regular basis to all students.
  - Faculty members evaluate annually all students' progress and suitability for entry into the student affairs profession, and evaluation of students' ethical behaviors is included.

*Rationale:*

- 8.3 Ethical Obligations of Faculty
- Faculty members demonstrate the highest standards of ethical behavior and academic integrity in all forms of advising, teaching, research, publication, and professional service and instruct students in ethical practice and in the principles and standards of conduct of the profession.
  - Faculty members strive to ensure the fair and impartial treatment of students and others.
  - Faculty members maintain ethical relationships with students exemplifying respect and the ideals of pedagogy.
  - Faculty members do not teach, supervise, or advise any student with whom they have an intimate relationship. When a student enters an academic program having a pre-existing intimate relationship with a faculty member, both notify a third party, such as a department chair, to monitor the pedagogical relationship and assign appropriate teaching, supervisory, and advising responsibilities.
  - Faculty members keep students informed about their progress toward successful professional preparation program completion.

*Rationale:*

- 8.4 Legal Obligations of Faculty
- Faculty members explain to the student the grounds for the termination decisions.
  - Faculty members ensure that privacy is maintained of all communication and records considered to be educational records unless written permission is given by the student or when the disclosure is allowable under the law and institutional policy.
  - Faculty members respond to requests by students for recommendations for employment or further study. When endorsement cannot be provided for a particular position, the student is informed of the reason for non-endorsement.
  - Faculty members inform all students of the institutional and professional preparation program policies regarding graduate student liability.

*Rationale:*

Overview Questions:

1. What is the professional preparation program's strategy for managing student and personnel confidentiality and privacy issues?
2. How are ethical dilemmas and conflicts of interest identified and addressed?
3. How are students, faculty, and staff in the program made aware of their ethical and legal obligations?

4. How are ethics incorporated into the daily management and decision-making processes of the professional preparation program?
5. What are the crucial legal, policy and, governance issues faced by the program, and how are they addressed?
6. How are personnel instructed, advised, or assisted with legal, policy, and governance concerns?
7. How are personnel informed about internal and external governance systems?

## Part 9: PROGRAM EVALUATION

### Suggested Evidence and Documentation:

1. Program goals, key indicators, outcomes, and related assessment data
2. Program student learning and development outcomes and related assessment data
3. Description of assessment cycle
4. Assessment plans and annual assessment reports
5. Minutes of meetings at which assessment activities and results discussed
6. Professional development activities to improve assessment competence

### Criterion Measures:

DNA	IE	0	1	2	3
Does Not Apply	Insufficient Evidence/ Unable to Rate	Does Not Meet	Partly Meets	Meets	Exceeds

#### 9.1 Evaluation Plan and Practice

- Planned procedures for continuing evaluation of the Master’s Level Student Affairs Professional Preparation Program are established and implemented, and the evaluation information is used for appropriate program enhancements.

### *Rationale:*

### Overview Questions:

1. What is the comprehensive assessment strategy for the professional preparation program?
2. How does the program integrate assessment and evaluation into all aspects of daily operations (e.g., advising, event planning)?
3. How are tangible, measurable learning and program outcomes determined to ensure professional preparation program achievement of mission and goals?
4. How effective is the assessment strategy in demonstrating goal achievement and student learning?
5. How does the professional preparation program use assessment results to inform program improvement?
6. How does the professional preparation program share assessment results with relevant constituencies?

*General Standards revised in 2014;*

*Master’s Level Student Affairs Professional Preparation Program content developed/revised in 1979, 1986, 1997, 2002, and 2012*

## Work Form A – Rating Discrepancies

### INSTRUCTIONS:

This work form should be completed following a review of the individual ratings of the team members. Item numbers for which there is a substantial rating discrepancy should be discussed before completing the remaining work forms. Discrepancies among ratings should be identified, discussed, and reconciled for consensus.

Part	Discrepancies	Resolution/Final Decision
1. Mission and Objectives		
2. Recruitment and Admission		
3. Curriculum Policies		
4. Pedagogy		
5. The Curriculum		
6. Equity and Access		
7. Academic and Student Support		
8. Professional Ethics and Legal Responsibilities		
9. Program Evaluation		

## Work Form B – Strengths and Areas for Improvement

**INSTRUCTIONS:**

This work form should be completed following a review of the individual ratings of the team members. Examine the ratings of each criterion measure by the team members, and record the following in the form below:

- Strengths: Item number(s) for which all participants have given a rating of 3, indicating agreement that the criterion *exceeds* the standard.
- Areas for Improvement: Item number(s) for which all participants have given a rating of 0 or 1, indicating agreement that the criterion *does not meet* or *partly meets* the standard. Items rated IE for *insufficient evidence/unable to rate* should be listed here as well.

Note – Items not listed in one of these categories represent consensus among the raters that practice in that area is satisfactory, having been rated a 2, which indicates agreement that the criterion *meets* the standard.

Part	Strengths: Items that exceed the standard (consensus ratings = 3)	Areas for Improvement: Items that do not meet or partly meet the standard (consensus ratings = 0, 1)
1. Mission and Objectives		
2. Recruitment and Admission		
3. Curriculum Policies		
4. Pedagogy		
5. The Curriculum		
6. Equity and Access		
7. Academic and Student Support		
8. Professional Ethics and Legal Responsibilities		
9. Program Evaluation		



## Work Form C – Recommendations for Unit Action

**INSTRUCTIONS:**

This is the last form to be completed by the review team. List the items needing follow-up action for improvement and indicate what requires attention. The team or coordinator should consider including any criterion measure rated as being not met by the reviewers, as well as those with significant discrepancies that are not resolved by team discussion.

Part	Item Requiring Attention
1. Mission and Objectives	
2. Recruitment and Admission	
3. Curriculum Policies	
4. Pedagogy	
5. The Curriculum	
6. Equity and Access	
7. Academic and Student Support	
8. Professional Ethics and Legal Responsibilities	
9. Program Evaluation	

## Work Form D – Beginning the Action Plan

### INSTRUCTIONS:

This work form is for use by the staff of the unit being reviewed and is the first step in identifying the actions to be taken as a consequence of study results. Using the Items Requiring Attention listed in Work Form C, write a brief action plan that identifies the focus and intended outcomes of the next steps in to be taken in each area.

#### Part 1. Mission and Objectives

#### Part 2. Recruitment and Admission

#### Part 3. Curriculum Policies

#### Part 4. Pedagogy

#### Part 5. The Curriculum

#### Part 6. Equity and Access

#### Part 7. Academic and Student Support

#### Part 8. Professional Ethics and Legal Responsibilities

#### Part 9. Program Evaluation





## Work Form E – Action Plan

**INSTRUCTIONS:**

Using this work form, the unit staff will turn the summary of areas to be addressed identified by the review team (Work Form D) into a specific plan of action. After reviewing the information provided in Work Forms B and C, unit staff teams should describe practices in need of improvement, the actions to be taken, the individual responsible, and the timeline for achieving compliance with the standard.

Current Practice Description	Corrective Action Needed	Task Assigned To	Timeline/ Due Dates

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## MASTER'S LEVEL STUDENT AFFAIRS

### PROFESSIONAL PREPARATION PROGRAMS

#### CAS Standards and Guidelines

#### Part 1. MISSION AND OBJECTIVES

The mission of professional preparation programs must be to prepare persons through graduate education for professional positions in student affairs practice in higher education. Each program mission must be consistent with the mission of the institution offering the program.

Program missions should reflect particular emphases, such as administration, counseling, student learning and development, student cultures, social justice, or other appropriate emphases as long as the standards herein are met.

The program's mission may include inservice education, professional development, research, and consultation for student affairs professional staff members working at the institution.

Each professional preparation program must publish a clear statement of mission, objectives, and desired outcomes prepared by the program faculty in consultation with collaborating student affairs professionals and relevant advisory committees. The statement must be readily available to current and prospective students and to appropriate faculty and staff members and agencies. It must support accurate assessment of student learning and program effectiveness. The statement must be reviewed periodically.

This review may be conducted with the assistance of stakeholders, including current students and faculty, graduates of the program, student affairs professionals, and personnel in cooperating entities such as practicum or internship sites, affiliated academic departments, other institutions, or employers.

The program faculty should consider recommendations of governing bodies and professional groups concerned with student affairs when developing, revising, and publishing the program's mission and objectives. The mission and objectives should reflect consideration of the current issues and needs of society, higher education, and student populations served. Personnel in cooperating agencies and faculty members with primary assignments in other disciplines should be aware of and should be encouraged to work toward the achievement of the program's mission and stated objectives.

The mission and objectives should specify both mandatory and elective areas of study. The mission and objectives may address socialization into the field as well as recruitment, selection, retention, employment recommendations, curriculum, instructional methods assessment, research activities, administrative policies, and governance.

#### Part 2. RECRUITMENT AND ADMISSION

Accurate descriptions of the graduate program, including the qualifications of its faculty and records of students' persistence, degree completion, and subsequent study and employment, must be made readily available for review by both current and prospective students.

Students selected for admission to the program must meet the institution's criteria for admission to graduate study. Program faculty members must make admission decisions using written criteria that are disseminated to all faculty members and to prospective students.

Students admitted to the program should demonstrate academic capability interpersonal skills, demonstrated interest in the program, commitment to pursuing a career in student affairs, the potential to serve a wide range of students of varying developmental levels and backgrounds, and the capacity to be open to self-assessment and growth. Criteria known to predict success in the program should be used in selection of candidates. Students from diverse backgrounds should be encouraged to apply.

Admission goals and practices must reflect the need to achieve a diverse group of program students with recruitment of underrepresented student applicants where deemed appropriate by the program.

Admissions materials must be clear about criteria for particular student status, such as full-time students, currently employed students, or students seeking distance learning opportunities, and the manner in which such preferences may affect admissions decisions.

Design of admissions materials, from informational brochures to Internet resources and forms, must ensure they are useable by persons utilizing varied access means and tools.

Universal design concepts should be incorporated to develop admissions materials that pertain to, appeal to, and are useable by all prospective applicants.

**Faculty members in institutions that are signatories to the Council for Graduate School's *Resolution Regarding Graduate Scholars, Fellows, Trainees and Assistants*** must comply with the April 15<sup>th</sup> acceptance deadline for graduate student admission decisions, including graduate student employment or fellowship offers made by the graduate program.

Faculty members should encourage those offering employment to graduate students to abide by the acceptance deadline.

Faculty members at non-signatory institutions should also comply with the Council for Graduate School policy.

### Part 3. CURRICULUM POLICIES

The preparation program must specify its curriculum and graduation requirements in writing and distribute them to prospective students. The program must conform to institutional policy and must be fully approved by the institution's administrative unit responsible for graduate programs. The institution must employ faculty members with credentials that reflect professional knowledge, ability, and skill to teach, advise, produce scholarship, and supervise students.

Any revisions to the publicized program of studies must be published and distributed to students in a timely fashion. Course syllabi must be available that reflect purposes, teaching/learning methods, and outcome objectives.

All prerequisite studies and experiences should be identified clearly in course descriptions and syllabi.

To accomplish the goals of the curriculum as outlined later in this document, a program must include an equivalent total of 40-48 semester credit hours or two years of full-time academic study.

Programs should provide opportunities for part-time study.

Appropriate consideration and provisions for curriculum adjustments should be made for students with extensive student affairs experience.

Distance learning opportunities may be used in the program.

Distance learning, including distance degree programs, must comply with all standards herein.

Successful completion of the program must be based on achievement of clearly articulated learning goals and outcomes.

Programs must demonstrate that the full curriculum, as outlined in Part 5 of these standards and guidelines, is covered and that graduates have mastered relevant competencies.

There must be a sequence of basic to advanced studies. Any required associated learning experiences must be included in the required program of studies.

Associated learning experiences may include comprehensive examinations, portfolios, service-learning, internship/practicum, and research requirements.

Opportunity for students to develop understandings and skills beyond minimum program requirements must be provided through elective course options, supervised individual study, and/or enrichment opportunities.

Programs should encourage students to take advantage of special enrichment opportunities and education that encourages learning beyond the formal curriculum, e.g., experiences in student affairs organizations, professional associations and conferences, and outreach projects.

An essential feature of the preparation program must be to foster an appreciation of intellectual inquiry in faculty members and students, as evidenced by active involvement in producing and using research, evaluation, and assessment information in student affairs.

Research, program evaluation, and assessment findings should be used frequently in instructional and supervised practical experience offerings. The study of methods of inquiry should be provided in context of elected program emphasis, such as administration, counseling, student learning and development, student cultures, or other program options.

#### Part 4. PEDAGOGY

Each program and all faculty members must indicate their pedagogical philosophy and strategies in the appropriate program media. Faculty members must accommodate multiple student learning styles. Teaching approaches must be employed that lead to the accomplishment of course objectives, achievement of student learning outcomes, and evaluation by academic peers for the purpose of program improvement.

Pedagogy should employ principles of universal design for learning principles to meet the educational needs of all students and minimize the need for individual accommodations.

Teaching approaches include active collaboration, service-learning, problem-based learning, community-based learning, experiential learning, and constructivist learning. Faculty members should use multiple teaching strategies.

## Part 5. THE CURRICULUM

All programs of study must include (a) foundational studies, (b) professional studies, and (c) supervised practice. Demonstration of necessary knowledge and skill in each area is required of all program graduates.

Programs should use capstone experiences as a culminating activity.

Foundational studies must include the study of the historical and philosophical foundations of higher education and student affairs.

Professional studies must include (a) student learning and development theories, (b) student characteristics and the effects of college on students, (c) individual and group strategies, (d) organization and administration of student affairs, and (e) assessment, evaluation, and research.

Supervised practice must include practicums and/or internships consisting of supervised work involving at least two distinct experiences.

The curriculum described above represents areas of study and should not be interpreted as specific course titles. The precise nature of courses should be determined by a variety of factors, including institutional mission, policies and practices, faculty judgment, current issues, and student needs. It is important that appropriate courses be available within the institution or from another institution, but it is not necessary that all be provided directly within the department or college in which the program is located administratively. Although all areas of study must be incorporated into the academic program, the precise nature of study may vary by institution, program emphasis, and student preference. The requirements for demonstration of competence and minimum knowledge in each area should be established by the faculty and regularly reviewed to assure that students are learning the essentials that underlie successful student affairs practice. A formal comprehensive examination or other culminating assessment project designed to provide students the opportunity to exhibit their knowledge and competence toward the end of their programs of study is encouraged.

Programs of study may be designed to emphasize one or more distinctive perspectives on student affairs such as educational program design, implementation, and evaluation; individual and group counseling and

advising; student learning and human development; and/or administration of student affairs in higher education. Such program designs should include the most essential forms of knowledge and groupings of skills and competencies needed by practicing professionals and should be fashioned consistent with basic curriculum requirements. The wide range of expertise and interest of program faculty members and other involved and qualified contributors to curriculum content should be taken into account when designing distinctive perspectives in programs of study.

Each program must specify the structure of its degree options including which courses are considered core, thematic, required, and elective.

A “core” course is one that is principal to the student affairs preparation program. Theme courses are those that center on a common content area (such as introduction to student development theory, the application of student development theory, and using student development theory for environmental assessment).

Programs may structure their curriculum according to their distinctive perspectives and the nature of their students to ensure adequacy of knowledge in foundation, professional, and supervised experience studies.

Adoption and selection of instructional materials must give consideration to accessibility features to ensure they are useable by all students.

#### Part 5a: FOUNDATIONAL STUDIES

This component of the curriculum must include study in the historical, philosophical, ethical, cultural, and research foundations of higher education that informs student affairs practice.

Graduates must be able to reference historical and current documents that state the philosophical foundations of the profession and to communicate the relevance of these documents to current student affairs practice.

Graduates must also be able to articulate the values of the profession that are stipulated or implied in these documents and indicate how these values guide practice.

These values should include educating the whole student, treating each student as a unique individual, offering seamless learning opportunities, and ensuring the basic rights of all students.

This standard encompasses studies in other disciplines that inform student affairs practice, such as cultural contexts of higher education; governance, public policy, and finance of higher education; the impact of environments on behavior, especially learning; history of higher education for majority, minority and disabled students, and international education and global understanding, including the implications of internationalization. Studies in this area should emphasize the diverse character of higher education environments including minority-serving institutions. The foundational studies curriculum component should be designed to enhance students’ understanding of higher education systems and exhibit how student affairs programs are infused into the larger educational picture.

Graduates must be knowledgeable about and be able to apply a code of ethics or ethical principles sanctioned by a recognized professional organization that provides ethical guidance for their work.

#### Part 5b: PROFESSIONAL STUDIES

This component of the curriculum must include studies of basic knowledge for practice and all programs must encompass at least five related areas of study including (a) student learning and development theories; (b) student characteristics and effects of college on students; (c) individual and group strategies; (d) organization and administration of student affairs; and (e) assessment, evaluation, and research.

Other areas of study, especially when used as enrichment or cognate experiences, are encouraged. Studies in disciplines such as sociology, psychology, political science, public administration, disability studies, and ethnic studies, for example, may be helpful to students depending upon the particular program emphasis.

Communication skills and use of technology as a learning tool must be emphasized in all the professional studies areas listed above.

##### Subpart 5b.1: Student Learning and Development Theory

This component of the curriculum must include studies of student development theories and research relevant to student learning and personal development. There must be extensive examination of theoretical perspectives that describe students' growth in the areas of intellectual, moral, ego, psychosocial, career, and spiritual development; racial, cultural, ethnic, gender, abilities, socioeconomic status, and sexual identity; the intersection of multiple identities; and learning styles throughout the late adolescent and adult lifespan. Study of collegiate environments and how person-environment interactions affect student learning and development must also be required.

Graduates must be able to demonstrate the ability to use and critique appropriate theory to understand, support, and advocate for student learning and development by assessing needs and creating opportunities for learning and development.

This component should include studies of and research about human development from late adolescence through the adult life span and models and processes for translating theory and research into practice. Studies should stress differential strengths and applications of student development theories relative to student age, gender, ethnicity, race, culture, sexual identity and expression, abilities, spirituality, national origin, socioeconomic status, and resident/commuter status. Studies should also include specialized theories of learning and development particular to certain populations or groups.

##### Subpart 5b.2: Student Characteristics and Effects of College on Students

This component of the curriculum must include studies of student characteristics, how such attributes influence student educational and developmental needs, and effects of the college experience and institutional characteristics on student learning and development.



Graduates must be able to demonstrate knowledge of how student learning and learning opportunities are influenced by student characteristics and by collegiate environments so that graduates can design and evaluate learning experiences for students.

This area should include studies of the following: effects of college on students, campus climate, satisfaction with the college experience, student involvement in college, student culture, campus environment, and factors that correlate with student persistence and attrition. This curriculum component should include, but is not limited to, student characteristics such as age, gender, ethnicity, race, religion, sexual identity and expressions, academic ability and preparation, learning styles, socioeconomic status, national origin, immigrant status, abilities, developmental status, cultural background and orientation, transfer status, and family situation. Also included should be the study of specific student populations such as residential, commuter, distance learner; part-time and full-time; transfer; first generation; student-athlete; fraternity/sorority member; adult learner; active duty military and veteran; and international.

#### Subpart 5b.3: Individual and Group Strategies

This component of the curriculum must include studies, techniques, and methods of advising and helping skills as well as assessing, designing, implementing, and evaluating developmentally appropriate strategies with individuals and organizations.

Graduates must be able to demonstrate knowledge and skills necessary to design and evaluate effective educational interventions for individuals and groups. Graduates must be able to identify and appropriately refer persons who need additional resources.

This curriculum component should include opportunities for study, skill building, and strategies for the implementation of advising, counseling, disciplining, instructing, mediating, arbitrating, and facilitating to assist individuals and groups. The program of study should include substantial instruction in counseling skills, helping skills, and group dynamics. Students should be exposed to a variety of theoretical perspectives, provided opportunities to practice individual and group interventions, and receive extensive supervision and feedback. Intervention skills are complex and require periods of time to practice under supervised conditions.

Programs of study should include instruction in individual and group techniques and practices for addressing personal crises as well as problem solving, self-assessment, and growth needs. Further, studies should include problem analyses, intervention design, and subsequent evaluation. Studies should emphasize theory plus individual and group strategies that are appropriate for and applicable to diverse populations.

#### Subpart 5b.4: Organization and Administration of Student Affairs

This component of the curriculum must include studies of organizational, management, and leadership theory and practice; student affairs functions, organizational models, and partnerships; legal issues in higher education; human and organizational resources; and professional issues, ethics, and standards of practice in the context of diverse institutional types.

Graduates must be able to identify and apply leadership, organizational, and management practices that assist institutions in accomplishing their missions.

This curriculum component should include opportunities for the study of student affairs programs and services including but not limited to those for which CAS has developed standards and guidelines. Studies of organizational culture; collaboration and partnerships; budgeting, finance, and resource management; planning; technology as applied to organizations; and the selection, supervision, development, and evaluation of staff should be included as well.

#### Subpart 5b.5: Assessment, Evaluation, and Research

This component of the curriculum must include the study of assessment, evaluation, and research that centers on evidence-based practice to further accountability and continuous improvement. Studies must include assessment planning and design, outcome development, both qualitative and quantitative research methodologies, measurement of learning processes and outcomes, assessment of environments and organizations, measurement of program and environment effectiveness, effective reporting, and critiques of published studies.

Graduates must be able to critique a study or evaluation and be able to design, conduct, and report on a sound research study, assessment study, or program evaluation, all grounded in the appropriate literature. Graduates must be able to use assessment results to inform and improve professional practice and student learning.

Graduates must be aware of research ethics and legal implications of research, including the necessity of adhering to a human subjects review.

This curriculum component should include studies of the design of student learning and developmental outcomes, assessment of student needs and developmental attributes, satisfaction studies, the assessment of educational environments that influence student learning, the assessment of resource effectiveness, and the assessment of student outcomes of the educational experience particular to student affairs work. This curriculum component also should include studies of program evaluation models and processes suitable for use in evaluating the impact of a wide range of programs and services. Students should be introduced to methodologies and techniques of quantitative and qualitative research, plus the philosophical foundations, assumptions, methodologies, and criteria of worthiness of both. Students should understand their roles, responsibilities, and personal perspectives as researchers. Students should be familiar with prominent research in student affairs that has greatly influenced the profession.

#### Part 5c: SUPERVISED PRACTICE

A minimum of 300 hours of supervised practice, consisting of at least two distinct experiences, must be required. Students must gain exposure to both the breadth and depth of student affairs work. Students must gain experience in developmental work with individual students and groups of students in program planning, implementation, or evaluation; staff training, advising, or supervision; and administration functions or processes.

Supervision must be provided onsite by competent professionals, who are approved by, and working in cooperation with qualified program faculty members. Onsite supervisors must provide direct regular supervision and evaluation of students' experiences and comply with all ethical principles and standards of

the ACPA - College Student Educators International, NASPA – Student Affairs Administrators in Higher Education, and other recognized professional associations.

Qualified student affairs professionals possessing appropriate student affairs education and experience should be invited to sponsor and supervise students for practicum, internship, and assistantship experiences. Typical qualifications include at least a master's degree in student affairs or a related area of professional study, and a minimum of one year of successful full-time post-master's professional experience and experience at that institution.

Site supervisors must be approved in advance by program faculty. Program faculty must offer clear expectations of learning goals and supervision practices to site supervisors. Site supervisors must involve students in developing reasonable, attainable, and measureable learning outcomes from their practical experiences.

Supervised practice includes practicums and internships consisting of supervised work completed for academic credit in student programs and services in higher education including higher education organizations. The exposure of students to diverse settings and work with diverse clientele or populations should be encouraged.

Because individual supervision of students in practicums and internships is laborious for faculty with this instructional responsibility, supervision must be limited to a small group of students per faculty member to enable close regular supervision. Students must be supervised closely by faculty individually, in groups, or both.

When determining practicum and internship course loads, faculty members who provide direct practicum or internship supervision during any academic term should receive instructional credit for the equivalent of one academic course for each small group. Likewise, students enrolled in such internships should receive academic credit.

A graduate assistantship in programs and services in higher education, which provides both substantive experience and professional supervision, may be used in lieu of a practicum or internship. To ensure effectiveness, faculty members responsible for assuring quality learning outcomes should work closely with graduate assistantship supervisors in students' assignment and evaluation processes. Appropriate consideration and provisions should be made for students with extensive experience in student affairs.

Preparation of students for practicums and internships is required. Practicums and internship experiences must be reserved for students who have successfully completed a sequence of courses pertaining to basic foundational knowledge of professional practice. Before participating in practicums and internships, students must demonstrate basic knowledge and skills in interpersonal communication, consultation, and referral skills. Students must comply with all ethical principles and standards of appropriate professional associations.

Preparation of students for supervised practice may be accomplished through special pre-practicum seminars, laboratory experiences, and faculty tutorials as well as coursework.

Disability accommodations needed during supervised practice should be approached collaboratively between the student and his or her graduate practicum advisor, including site personnel if necessary.

Graduates must develop and maintain personal plans for professional development and habits that support life-long learning.

Student membership in professional associations should be expected. Attendance at professional conferences, meetings, or other professional development opportunities should also be encouraged and supported.

Since the allocation of students in supervised practice experiences represents distribution of institutional resources and by their nature can be political, care should be given to ensure a fair and equitable allocation. The selection and assignment process should ensure that student educational needs are met and that sponsoring programs and supervisors are equally respected so that promised commitments are honored throughout the supervised practice.

#### Part 6. EQUITY AND ACCESS

A graduate program must adhere to the spirit and intent of equal opportunity in all activities. The program must foster an inclusive community in which diversity is viewed as an ethical responsibility. The program must implement practices of universal design in its services, programs, and facilities. Programs that indicate in their admissions materials convenience and encouragement for distance learners or working students must provide services, classes, and resources that respond to the needs of evening, part-time, distance, and commuter students.

Programs and services must not discriminate on the basis of disability; age; race; cultural identity; ethnicity; nationality; family educational history (e.g., first generation to attend college); political affiliation; religious affiliation; sex; sexual orientation; gender identity and expression; marital, social, economic, or veteran status; or any other basis included in institutional policies and codes and laws.

Graduate programs must be provided on a fair, equitable, and non-discriminatory basis in accordance with institutional policies and with all applicable statutes and regulations.

Graduate programs must maintain an educational and work environment free from discrimination in accordance with law and institutional policy.

Graduate programs must modify or remove policies, practices, facilities, structures, systems, and technologies that create barriers access, discriminate, or produce inequities.

Programs and services must recognize the needs of distance learning students by providing appropriate and accessible services and resources or by assisting students to gain access to other appropriate services and resources in students' geographic regions.

Consistent with the mission and goals, programs must take action to remedy situations in which student enrollment and staffing are not representative of diversity.

The program should recognize the important educational opportunities that diversity among its students and faculty brings to student affairs preparation. Therefore, programs should encourage the recognition of and adherence to the diversity of all who are allied with the educational program.

## Part 7. ACADEMIC AND STUDENT SUPPORT

Institutions must provide sufficient faculty and staff members, resource materials, advising, career services, student financial support, facilities, technology, and funding resources for the program.

Outcome indicators should be developed, such as student and faculty retention, student-faculty ratio, and availability of assistantships to determine that the program has adequate resources.

### Part 7a: FACULTY AND STAFF MEMBERS

The institution must provide adequate faculty and support staff members for the various aspects of the student affairs graduate program.

The institution must provide an academic program coordinator who is qualified by preparation and experience to manage the program.

The program coordinator or administrative director should have responsibility for managing the program's day to day operations, convening the program faculty as required, developing curriculum, and generally administering the preparation program within the context of the academic unit to which it is assigned. This individual should be the person responsible for guiding faculty teaching assignments, establishing and maintaining connections with student affairs staff members who serve as practicum/internship site supervisors or in adjunct teaching roles, guiding general program activities, and representing the program to internal and external constituencies.

Faculty assignments must demonstrate a commitment to the preparation of student affairs professionals. Sufficient full-time core faculty members must be devoted to teaching and administering the program to produce not only employable students but also students capable of designing, creating, and implementing learning opportunities.

At least one faculty member must be designated full-time to the program. Faculty must be adequate for the student enrollment and nature of the program requirements.

Faculty members should be available according to a reasonable faculty-student ratio that permits quality teaching, advising, supervision, research, and professional service. A core faculty member is one who identifies principally with the preparation program. Primary teaching responsibility in the program is recognized when core faculty member's instructional responsibilities are dedicated half-time or greater to teaching the program's curriculum. Devoted full-time to the program is defined as a faculty member whose institutional responsibilities are fully dedicated to the program. Teaching loads should be established on the basis of institutional policy and faculty assignments for service, research, and supervision. A system within the program and the institution should exist for involving professional practitioners who are qualified to assist with program responsibilities such as teaching and advising. Collaboration between full-time faculty members

and student affairs practitioners is recommended for the instruction, advisement, and practicum and internship supervision of students in the preparation program. Student affairs practitioners should be consulted in the design, implementation, and evaluation of the preparation program, particularly regarding practicum and internship requirements.

Faculty members must be skilled as teachers and knowledgeable about student affairs in general, plus current theory, research, and practice in areas appropriate to their teaching or supervision assignments. Faculty members must also have current knowledge and skills appropriate for designing, conducting, and evaluating learning experiences using multiple pedagogies.

Faculty members must specify how and when they are available to students in the program.

Faculty members must act in accordance with ethical principles and standards of good practice disseminated by recognized professional organizations.

The institution must provide opportunity and resources for the continuing professional development of program faculty members. To ensure that faculty members can devote adequate time to professional duties, the academic program must have sufficient clerical and technical support staff.

Technical support must be of sufficient quality and quantity to meet the technical needs of the program. Equipment sufficient for electronic communications and Internet use is essential.

Technical support should include regular training in software upgrades and new hardware developments, hardware and software repairs, virus protection, access to the Internet, online journals, courseware, presentation software, accessible teaching and learning software/hardware, e-text access and library resource access.

Classroom facilities should have the capacity to offer classes using electronic technologies.

Adjunct and part-time faculty must be qualified and adequately trained to serve as teachers, advisors, and internship supervisors.

Adjuncts and part-time faculty should be informed about institutional policies and procedures, provided access to program resources and faculty, and given feedback about their performance.

Faculty, staff, and affiliated faculty should be familiar with their institution's emergency management, threat assessment, and classroom safety policies and procedures.

#### Part 7b: RESOURCE MATERIALS

Adequate resource materials must be provided to support the curriculum.

Resources may include career information; standardized tests and technical manuals; and materials for simulations, structured group experiences, human relations training, and data-based interventions for human and organization development. In addition, resources may include instruments and assessment tools that

measure development, and leadership from various theoretical points of view and materials that facilitate leadership, organizational design, management style, intercultural sensitivity, conflict management, and time management development. Resources should include software that allows for the analysis of qualitative and quantitative data.

Adequate library resources must be provided for the program including current and historical books, periodicals, online journals, search mechanisms, and other media for the teaching and research aspects of the program. Library resources must be accessible to all students and must be selected carefully, reviewed, and updated periodically by the program faculty.

The library resources should be available days, evenings, and weekends and should include adequate interlibrary loan services, Education Resources Information Center (ERIC), and similar data sources, computerized search capabilities, and photocopy services. The most recent version of the *CAS Professional Standards for Higher Education* should be in the library collection.

Research support must be adequate for both program faculty and students.

Computing services, data collection and storage services, research design consultation services, and adequate equipment for transcription should be available in support of research activities of both students and faculty members. The program should provide students with individualized research project development, implementation, and training on the use of equipment and resources.

#### Part 7c: ADVISING

Faculty members must provide high quality academic and professional advising.

Academic advising must be viewed as a continuous process of clarification and evaluation.

Academic advising should include, but is not limited to, development of suitable educational plans; selection of appropriate courses and other educational experiences; clarification of professional and career goals; knowledge of and interpretation of institutional and program policies, procedures, and requirements; knowledge of course contents, sequences, and support resources; evaluation of student progress; referrals to and use of institutional and community support services; support for and evaluation of scholarly endeavors including research and assessment; and knowledge and interpretation of professional ethics and standards.

Advisors should

- be able to engage in research advising using both qualitative and quantitative methodologies when directing student research such as a thesis;
  - be readily available to students and should possess abilities to facilitate a student's career exploration, self-assessment, decision-making, and responsible behavior in interactions with others;
  - be able to guide the student's self-assessment utilizing the CAS Characteristics for Individual Excellence and other statements of professional competencies established in the field of student affairs;
- and

- be able to use the interpretation of the scores of assessment tools used in the advising process.

The number of faculty advisees should be monitored and adjusted as necessary to ensure that faculty can give adequate attention to all advisees.

#### Part 7d: CAREER SERVICES

The institution must provide career assistance, either by institutional career services or by the program faculty.

Students should be assisted in clarifying objectives and establishing goals; exploring the full range of career possibilities; disclosing disability and requesting workplace accommodations; preparing for the job search including presenting oneself effectively as a candidate for employment; and making the transition from graduate student to professional practitioner. Faculty members should collaborate with campus career service providers to develop an active program of assistance, including acquiring job listings; the preparation of credentials such as recommending applications, correspondence, and résumés; development of employment interview skills; identification of appropriate job search networks including professional associations; selection of suitable positions; and communication of ethical obligations of those involved in the employment process. Ideally, these services should be available to graduates throughout their professional careers.

#### Part 7e: STUDENT FINANCIAL SUPPORT

Students must be informed about the availability of graduate assistantships, fellowships, work-study, research funding, travel support, and other financial aid opportunities.

Graduate assistantships should be made available to students to provide both financial assistance and opportunities for supervised work experience. Travel support should be made available for students to engage in professional development activities.

#### Part 7f: FACILITIES AND FUNDING RESOURCES

The institution must provide facilities accessible to all students and a budget that ensures continuous operation of all aspects of the program.

A program office should be located in reasonable proximity to faculty offices, classrooms, and laboratory facilities. Adequate and appropriate space, equipment, and supplies should be provided for faculty members, staff members, and graduate assistants. There should be facilities for advising, counseling, and student development activities that are private, adequate in size, and properly equipped. Special facilities and equipment may include audio and video recording devices, one-way observation rooms, small group rooms, and computer labs. Adequate classroom, seminar, and laboratory facilities to meet program needs also should be available. Adequate office and technical equipment should be provided including access to email and other relevant technological resources. For online learning, resources and tools should be available to fulfill the responsibilities described above.

#### Part 8. PROFESSIONAL ETHICS AND LEGAL RESPONSIBILITIES



Faculty members must comply with institutional policies and ethical principles and standards of ACPA – College Student Educators International, NASPA – Student Affairs Administrators in Higher Education, American Association of University Professors, and the CAS Statement of Shared Ethical Principles. Faculty members must demonstrate the highest standards of ethical behavior and academic integrity in all forms of advising, teaching, research, publication, and professional service and must instruct students in ethical practice and in the principles and standards of conduct of the profession.

Ethical expectations of graduate students must be disseminated in writing on a regular basis to all students.

Ethical principles and standards of all relevant professional organizations should be consulted and used as appropriate. An ethical climate should prevail throughout the preparation program wherein faculty members model appropriate ethical behavior at all times for students to experience, observe, and emulate. Faculty members should present various theoretical positions and encourage students to make comparisons and to develop personally meaningful theoretical positions. Faculty members are expected to ensure that educational experiences focusing on self-understanding and personal growth are voluntary or, if such experiences are program requirements, that reasonable effort is made to inform prospective students of them prior to admission to the program. Students should be held accountable for appropriate ethical behavior at all times with special attention paid to the ethics components of the various CAS functional area standards when students participate in related practicum and internship assignments.

Faculty members must strive to ensure the fair and impartial treatment of students and others.

Faculty members must maintain ethical relationships with students exemplifying respect and the ideals of pedagogy.

Faculty members must not teach, supervise, or advise any student with whom they have an intimate relationship. When a student enters an academic program having a pre-existing intimate relationship with a faculty member, both must notify a third party, such as a department chair, to monitor the pedagogical relationship and assign appropriate teaching, supervisory, and advising responsibilities.

Graduate program faculty members must evaluate annually all students' progress and suitability for entry into the student affairs profession. Evaluation of students' ethical behaviors must be included. Faculty members must keep students informed about their progress toward successful program completion.

Through continual evaluation and appraisal of students, faculty members are expected to be aware of ethically problematic student behaviors, inadequate academic progress, and other behaviors or characteristics that may make a student unsuitable for the profession. Appropriate responses leading to remediation of the behaviors related to students' academic progress or professional suitability should be identified, monitored, evaluated, and shared with individual students as needed. Faculty members are expected, in cases of significant problematic behaviors, to communicate to the student the problems identified and the remediation required to avoid being terminated from the preparation program. After appropriate remediation has been proposed and evaluated, students who continue to be evaluated as being evaluated as poorly suited for the profession, making poor academic progress, or having demonstrated ethically problematic behaviors should be dismissed from the preparation program following appropriate due process procedures of the institution.

If termination is enforced, faculty members must explain to the student the grounds for the decision.

Faculty members must ensure that privacy is maintained of all communication and records considered to be educational records unless written permission is given by the student or when the disclosure is allowable under the law and institutional policy.

Faculty members must respond to requests by students for recommendations for employment or further study. When endorsement cannot be provided for a particular position, the student must be informed of the reason for non-endorsement.

Faculty members should base endorsements on knowledge of the student's academic performance, competencies, skills, and personal characteristics.

Each candidate should be informed of procedures for endorsement, certification, registry, and licensure, if applicable.

Faculty members must inform all students of the institutional and program policies regarding graduate student liability.

Program policy should be established to ensure that all students are periodically informed of their liabilities and options for protection. Programs may wish to establish policies requiring students to hold membership in particular professional associations and to purchase liability insurance prior to entering into practicums or internships.

## Part 9. PROGRAM EVALUATION

Planned procedures for continuing evaluation of the program must be established and implemented, and the evaluation information must be used for appropriate program enhancements.

Criteria for program evaluation should include knowledge and competencies learned by students, employment rates of graduates, professional contributions to the field made by graduates, and quality of faculty teaching, advising, and research. The process for program evaluation should be transparent and shared with stakeholders. Evaluation of program effectiveness should reflect evidence obtained from current and former students; course evaluations; supervisors from institutions and agencies employing graduates of the program; and personnel in accrediting agencies during formal reviews.

Review of policies and procedures relating to recruitment, selection, retention, and career services should be included in program evaluations. The timing and regularity of evaluations should be determined in accordance with institutional policy or program needs. Generally, the length of time between comprehensive program evaluations by the program faculty should not exceed five years.

Results of assessments and evaluations must be used to identify needs and interests in revising and improving the program; recognizing faculty, staff, and student performance; maximizing resource efficiency and effectiveness; improving student achievement of learning and development outcomes; and improving student persistence and success.

*Preparation Program content developed/revised in 1979, 1986, 1997, 2002, and 2012*