

1 **pH and Ultrasound Dual-responsive Drug Delivery System Based On**  
2 **PEG–folate-functionalized Iron-based Metal–Organic Frameworks**  
3 **for Targeted Doxorubicin Delivery**

4  
5 Ahmed Ahmed<sup>1</sup>, Abdollah Karami<sup>1</sup>, Rana Sabouni<sup>1\*</sup>, Ghaleb A. Husseini<sup>1</sup>, Vinod

6 Paul<sup>1</sup>

7 <sup>1</sup> Department of Chemical Engineering, American University of Sharjah, Sharjah  
8 26666, UAE

9 \* Corresponding author: Rana Sabouni (rsabouni@aus.edu), American University of  
10 Sharjah, Sharjah 26666, United Arab Emirates

11  
12 **Abstract**

13 In recent years, the use of metal–organic frameworks (MOFs) as drug nanocarriers  
14 has gained attention because of their extraordinary physical and chemical properties. In  
15 this work, dual-responsive iron-based MOFs were synthesized via the microwave-  
16 assisted method using  $\text{FeCl}_3 \cdot 6(\text{H}_2\text{O})$  as the metal cluster and 2-aminoterephthalic acid  
17 ( $\text{NH}_2\text{-BDC}$ ) as the organic linker (namely  $\text{NH}_2\text{-Fe-BDC}$ ) and loaded with the anti-  
18 cancer drug doxorubicin (DOX). The DOX-loaded MOFs were further functionalized  
19 with polyethylene glycol-folate (PEG–FA), yielding PEG–FA– $\text{NH}_2\text{-Fe-BDC}$ . The  
20 folate moiety is used to specifically target several cancers overexpressing the folate  
21 receptor (FR). These nanoparticles were characterized using Fourier-Transform  
22 Infrared Spectroscopy (FTIR), X-ray Diffraction (XRD), Thermogravimetric Analysis  
23 (TGA), and Dynamic Light Scattering (DLS). The FTIR confirmed the PEG–FA  
24 conjugation to the MOFs, while the XRD patterns confirmed the crystallinity of the

25 nanoparticles. TGA results demonstrated the thermal stability of the MOFs. Moreover,  
26 the DLS analysis showed that regular MOFs had a particle diameter of 577 nm, while  
27 the PEG-FA-functionalized MOF had a particle diameter of 461 nm, which  
28 demonstrates the improved colloidal stability of the functionalized MOF. The DOX  
29 encapsulation efficiency was determined to be approximately 97%, while the  
30 encapsulation capacity was around 14.5 wt.%. Furthermore, the *in-vitro* release profiles  
31 were studied under different pH values (5.3 and 7.4) with and without low-frequency  
32 ultrasound (LFUS, at 40 kHz). The results confirmed the sonosensitivity of the  
33 nanovehicles, with US-triggered release efficiency reaching up to 90% after 280 min  
34 (at a pH of 5.3). The MTT study revealed that these nanocarriers are non-toxic at lower  
35 concentrations. Their toxicity increases at higher concentrations. Furthermore, the  
36 cellular uptake was investigated via flow cytometry, and the results showed that  
37 conjugation of PEG-FA moiety to the MOF's surface significantly enhanced the  
38 targeting uptake of cancer cells. Accordingly, this study showed the pH/US dual-  
39 responsive capability of NH<sub>2</sub>-Fe-BDC and PEG-FA-NH<sub>2</sub>-Fe-BDC.

40 **Keywords:** metal-organic frameworks; drug delivery; ultrasound; triggered release;  
41 encapsulation efficiency; Doxorubicin, NH<sub>2</sub>-Fe-BDC

## 42 1. INTRODUCTION

43 Cancer is a persistent public health problem around the world. According to  
44 epidemiological studies, cancer-related mortalities are expected to reach 13.1 million  
45 by 2030 [1]. Among cancer treatment methods, chemotherapy remains the most widely  
46 used [2]. However, chemotherapeutic agents do not affect cancerous or malignant cells  
47 only, but rather many other healthy cells in the body, causing many debilitating side  
48 effects that adversely affect the quality of life of the patient [3]. In addition, direct  
49 administration of anti-cancer drugs is often hindered by the drug's limited solubility,

50 poor physiological stability, and bioavailability [4]. As such, drug delivery systems  
51 (DDSs) can overcome some of these limitations. Recent advancements have shown that  
52 drug carriers can be used as an effective method to protect, increase the drug's  
53 bioavailability, and prolong its presence in the blood [5]. Additionally, the release of  
54 the encapsulated drug can be designed and controlled according to the type of material  
55 used to synthesize the DDS. Several organic and inorganic nanocarriers have been  
56 investigated as DDSs, including liposomes, micelles, quantum dots, mesoporous silica,  
57 carbon nanotubes, zeolites, and metal-organic frameworks [6–11].

58 Metal-organic frameworks (MOFs) are porous hybrid materials typically formed  
59 by the self-assembly of inorganic nodes like metal ions or clusters and organic linkers.  
60 Recently, nano-scaled MOFs have gained considerable attention in biomedical  
61 applications due to their unique physical and chemical properties, including a huge  
62 internal surface area that exceeds 6000 m<sup>2</sup>/g and high porosity that reaches up to 90%  
63 of its volume [12,13]. The large surface area and pore volume enable high drug  
64 encapsulation efficiency making MOFs attractive as DDSs compared to other  
65 nanocarriers. An additional important characteristic of MOFs is the ease of structural  
66 tuning and surface modification, which gives scientists the capability to design MOF-  
67 based DDSs with dual therapeutic and diagnostic functionalities [14,15].

68 Recently, the field of drug delivery has witnessed exciting developments with the  
69 advent of stimuli-responsive MOF-based DDSs. These nanoplatfoms are responsive  
70 to external or internal stimuli by undergoing a structural change that enables drug  
71 release from their cores. Stimuli-responsive DDSs offer the possibility of drug delivery  
72 in a temporal, targeted, and dosage-controlled manner, which enhances the drug  
73 delivery efficacy, while minimizing the side-effects [11,16]. Internal stimuli, which are  
74 related to the tumor microenvironment (TME), include pH, redox, ATP, and ions, while

75 external stimuli include light, heat, electrical waves, magnetic field, ultrasound, and  
76 microwaves [9–11,16]. There is growing interest in the development of multistimuli-  
77 responsive MOFs as the next-generation DDSs. Such nanoplatforms can utilize two or  
78 more, often a combination of internal and external, stimuli to trigger controlled and  
79 targeted drug release. One of the most reported combinations is the pH/near-infrared  
80 (NIR)-responsive DDSs. Such DDSs combine the controlled anti-cancer drug delivery  
81 with the therapeutic effects achieved by the DDS's light-responsive functionality,  
82 namely, photodynamic therapy (PDT) and photothermal therapy (PTT) [17–19].

83 A less-investigated example of dual-responsive MOF-based DDSs is  
84 pH/ultrasound-responsive DDSs [20]. Ultrasound (US) is currently investigated as a  
85 promising release-triggering stimulus in the drug delivery field [21–26]. The  
86 noninvasive US application can enhance the nanocarriers' transport into targeted cells  
87 due to thermal effects and mechanical stresses [11,22,27,28]. Furthermore, the  
88 oscillatory formation of US-induced microbubbles in biological tissues causes stresses  
89 on the nanocarrier's structure resulting in the enhanced release of the encapsulated drug  
90 [21,23,29].

91 Herein, we report a pH/US dual-responsive MOF-based drug delivery system  
92 (DDS) based on iron (Fe) as the metal cluster and 2-amino-1,4-benzenedicarboxylic  
93 acid (NH<sub>2</sub>-BDC) as the organic linker. These MOFs are then functionalized with  
94 polyethylene glycol and folate (PEG-FA) to enhance the colloidal stability and  
95 targeting capability of the MOF-based DDS, since many cancerous tumors express high  
96 folate-receptor levels on their surface [30]. The synthesized MOFs were characterized  
97 using X-ray diffraction (XRD), Fourier-transform infrared (FTIR) analysis,  
98 thermogravimetric analysis (TGA), and dynamic light scattering (DLS). In addition, to  
99 demonstrate the pH/US dual-responsive capability of the DDS, the chemotherapeutic

100 drug doxorubicin (DOX) was loaded into the MOF, and the *in-vitro* drug release  
101 profiles were measured in phosphate-buffered saline (PBS) at two pH levels (namely,  
102 7.4 and 5.3) and 37 °C with and without US. Moreover, the biocompatibility and  
103 cytotoxicity of the functionalized MOF were investigated using the MTT assay. Several  
104 concentrations of our newly synthesized MOFs were incubated with MCF-7 breast  
105 cancer cells.

## 106 **2. EXPERIMENTS**

### 107 **2.1. Materials**

108 All the chemicals and reagents used in the MOFs' synthesis and functionalization  
109 were purchased from Sigma-Aldrich (supplied through LABCO LLC. Dubai, United  
110 Arab Emirates) and used without further modifications. Iron (III) chloride hexahydrate  
111 ( $\text{FeCl}_3 \cdot 6\text{H}_2\text{O}$ ), 2-aminoterephthalic acid ( $\text{NH}_2\text{-BDC}$ ) and N,N-dimethylformamide  
112 (DMF, ReagentPlus®,  $\geq 99\%$ ) were used in the synthesis of  $\text{NH}_2\text{-Fe-BDC}$ .  
113 Furthermore, Folate-PEG2000-COOH (PEG-FA), N-(3-dimethylaminopropyl)-N'-  
114 ethylcarbodiimide hydrochloride (EDC), and N-hydroxysuccinimide (NHS) were used  
115 in the surface modification and PEG functionalization of the MOF. Doxorubicin (DOX)  
116 was obtained from Euroasia Trans Continental (Mumbai, India).

### 117 **2.2. Synthesis of $\text{NH}_2\text{-Fe-BDC}$**

118 The amino-functionalized MOF ( $\text{NH}_2\text{-Fe-BDC}$ ) was synthesized using the  
119 microwave-assisted technique. First, 54 mg of  $\text{FeCl}_3 \cdot 6(\text{H}_2\text{O})$  and 36 mg of  $\text{NH}_2\text{-BDC}$   
120 were dissolved in 10 ml DMF. The solution was placed in a microwave reaction vessel  
121 and heated via microwave irradiation (SINEO, model MDS-6G, Shanghai, China) at  
122 800 W and 135 °C for 99 minutes. Next, the resultant particles were separated by  
123 centrifugation at 4500 rpm for 15 minutes (Heraeus Megafuge 8R, Thermo Scientific,  
124 Waltham, MA, USA). Then, the resulting particles were washed with DMF and

125 centrifuged twice to remove unreacted precursors. The collected particles, namely NH<sub>2</sub>-  
126 Fe-BDC MOF, were dried overnight in an oven at 100 °C.

### 127 **2.3. DOX encapsulation in NH<sub>2</sub>-Fe-BDC**

128 To encapsulate DOX into the MOFs, 15 mg of NH<sub>2</sub>-Fe BDC MOF were added to  
129 a 1-mM DOX dissolved in a PBS solution (pH of 7.4) and mixed for 24 hours. The  
130 mixture was then centrifuged at 4500 rpm for 30 minutes, the supernatant was removed  
131 and analyzed using UV-Vis spectroscopy (Evolution 60S, Thermo Scientific, Waltham,  
132 MA, USA). The DOX-loaded MOFs were then washed twice, centrifuged, and dried in  
133 an oven at 100 °C for 1 hour. After drying, the drug-loaded MOFs were stored in a  
134 desiccator for further surface modification. To calculate the drug loading efficiency, the  
135 following equation was used:

$$136 \quad \text{Loading efficiency (\%)} = \frac{A_i - A_f}{A_i} \times 100 \quad (1)$$

137 where  $A_i$  and  $A_f$  are the absorbance values of the initial and final DOX concentrations.  
138 The characteristic peak of DOX was 480 nm.

139 Additionally, the loading capacity of the drug is calculated using the following  
140 equation:

$$141 \quad \text{Loading capacity (wt. \%)} = \frac{m_{\text{loaded}}}{m_{\text{loaded}} + m_{\text{MOF}}} \times 100 \quad (2)$$

142 where  $m_{\text{loaded}}$  is the mass of DOX (mg) and  $m_{\text{MOF}}$  is the mass of the MOF before  
143 loading (mg).

### 144 **2.4. PEG-Folate functionalization**

145 In this study, the surface of the synthesized NH<sub>2</sub>-Fe-BDC MOF was modified  
146 through post-synthesis modification steps to enhance targeting. The synthesized MOF  
147 underwent surface functionalization by conjugating a PEG functional group and folic  
148 acid, resulting in PEG-folate-functionalized MOF samples (PEG-FA-NH<sub>2</sub>-Fe-BDC).

149 The folate functionalization of the NH<sub>2</sub>-Fe-BDC MOFs was carried out as follows: 15  
150 mg of the DOX-loaded NH<sub>2</sub>-Fe-BDC was added to 10 ml PBS solution (pH 7.4)  
151 containing PEG-FA (0.75 mg), EDC (15 mg), and NHS (30 mg). Next, the mixture  
152 was incubated at room temperature for 3 hours. Finally, the samples were centrifuged,  
153 dried in an oven at 80 °C, and stored in a desiccator at room temperature for further use.

## 154 **2.5. Characterization**

155 The MOF samples were characterized using several characterization tests,  
156 including X-ray diffraction (XRD), Fourier-transform infrared (FTIR) spectroscopy,  
157 thermogravimetric analysis (TGA), and dynamic light scattering (DLS). The XRD  
158 patterns were obtained using a Bruker D8 Advance X-ray diffractometer (Billerica,  
159 Massachusetts, USA) at room temperature using a Cu K $\alpha$  radiation source ( $\lambda = 1.54 \text{ \AA}$ )  
160 on a silicon wafer from 5.0 to 50° ( $2\theta$ ) with a step size of 0.02° and 1s (per step) in a  
161 continuous mode. The FTIR spectra were measured on an FTIR instrument  
162 (PerkinElmer, Waltham, Massachusetts, USA) using the KBr pellet transmission  
163 technique, operating in the range of 4000 to 450 cm<sup>-1</sup>, with a step of 1.0 cm<sup>-1</sup>. For each  
164 sample, the spectrum was measured ten times and the average was reported. The TGA  
165 tests were performed using a Pyris 1 TGA instrument (PerkinElmer, USA) at a heating  
166 rate of 10 °C.min<sup>-1</sup> from 30 °C to 800 °C. The particle size distribution, average particle  
167 diameter, and polydispersity index (PDI) of the samples were determined using a  
168 dynamic light scattering instrument (DynaPro NanoStar, Wyatt Technology, Santa  
169 Barbara, CA, USA). The results were averaged over 10 acquisitions. Scanning electron  
170 microscopy (SEM) was used to investigate the MOFs' surface morphology and shape  
171 (TESCAN VEGA3, Brno, Czech Republic).

## 172 **2.6. DOX *in-vitro* release**

173 *In-vitro* drug release experiments were conducted as follows: 15 mg of DOX-  
174 loaded MOFs (NH<sub>2</sub>-Fe-BDC@DOX and PEG-FA-NH<sub>2</sub>-Fe-BDC@DOX) were added  
175 to 0.01 M PBS solution at two different pH levels (i.e., 7.4 and 5.3) at a temperature of  
176 37 °C. Then, low-frequency 40-kHz ultrasound at a power density of ~ 1 W/cm<sup>2</sup> was  
177 applied to the samples (10 min cycle) in a sonication bath (SHE-UT8031-EUK, Shesto,  
178 Watford, UK). After each sonication cycle, the samples were centrifuged, and an  
179 aliquot (3 ml) was taken from the supernatant for UV-Vis spectroscopic analysis. The  
180 same amount of the aliquot was replaced with fresh PBS for the next release cycle. The  
181 release procedure was repeated until maximum release was reached. Control *in-vitro*  
182 release experiments were also conducted without ultrasound. All experiments were  
183 performed in triplicates, and the average release profiles were reported. To calculate  
184 the cumulative release efficiency, the following equation is used:

$$185 \quad \text{Cumulative release efficiency (\%)} = \sum_i \left( \frac{C_i}{C_m} \right) \quad (3)$$

186 where  $C_i$  is the DOX aliquot concentration at each time point and  $C_m$  is the DOX  
187 maximum release concentration determined using the spectroscopic analysis of the  
188 supernatant collected from the loading step.

### 189 **2.7. *In-vitro* cytotoxicity analysis**

190 The cytotoxicity of NH<sub>2</sub>-Fe-BDC and PEG-FA-NH<sub>2</sub>-Fe-BDC was assessed by the  
191 MTT assay. For the assay, MCF-7 breast cancer cells, maintained in RPMI-1640  
192 media, were seeded in 24-well plates at a density of 5 x10<sup>4</sup> cells per well. After  
193 overnight incubation at 37 °C and 5% CO<sub>2</sub>, the media was replaced with fresh media  
194 containing the nanoparticles at different concentrations (i.e., 15.625, 31.25, 62.5, 125,  
195 250, 500 and 1000 µg/ml). All experiments were performed in triplicates. Before adding  
196 to the wells, the media containing the nanoparticles were sonicated in an ultrasonic bath  
197 to ensure the nanoparticles' well-dispersion. The treated cells were then further



198 incubated for 48 hours; the wells with no treatment were used as the control.  
199 Subsequently, the media in the wells were replaced with new media containing 0.5  
200 mg/ml MTT reagent and incubated for 4 h to allow the formation of formazan crystals.  
201 These formazan crystals were then fully dissolved by removing the MTT-medium  
202 mixture from the wells and adding 250  $\mu$ l DMSO. The solutions were then transferred  
203 to 96-well plates and the absorbance (of the resultant purple color) was measured by a  
204 microplate reader at 600 nm (Metertech M965 microplate reader, Taiwan). The cell  
205 viability was calculated as follows:

$$206 \quad \%Cell\ viability = \frac{\text{Average absorbance value of treatment group}}{\text{Average absorbance value of control}} \times 100 \quad (4)$$

207 The cytotoxicity experiments were run in triplicates.

## 208 **2.8. Cellular internalization (uptake)**

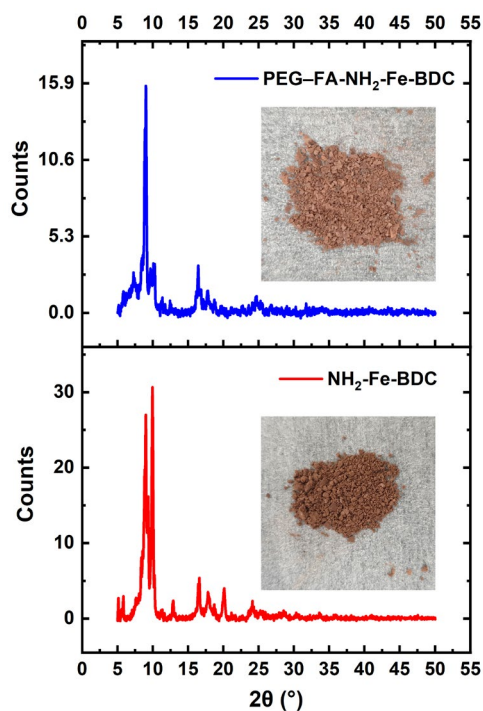
209 MCF-7 cell lines were trypsinized and seeded into 6-well plates at a concentration  
210 of  $6 \times 10^5$  cells per well. After 24 hours of incubation at 37 °C and 5% CO<sub>2</sub>, the media  
211 in the wells were changed with fresh media containing DOX-loaded NH<sub>2</sub>-Fe-BDC and  
212 PEG-FA-NH<sub>2</sub>-Fe-BDC for cellular internalization studies. The final concentration of  
213 MOF was 20  $\mu$ g/ml of media; the cells were then incubated for 3 more hours. Following  
214 the incubation, the cells were then washed with PBS and collected in 15-ml falcon  
215 tubes after trypsinization and washed twice by centrifugation with PBS. The cells  
216 (10,000 events) were then analyzed in a flow cytometer (Beckman Coulter FC 500,  
217 Brea, CA, USA). The experiments were performed in triplicates and the mean  
218 fluorescence was reported for each treatment.

## 219 **3. RESULTS AND DISCUSSION**

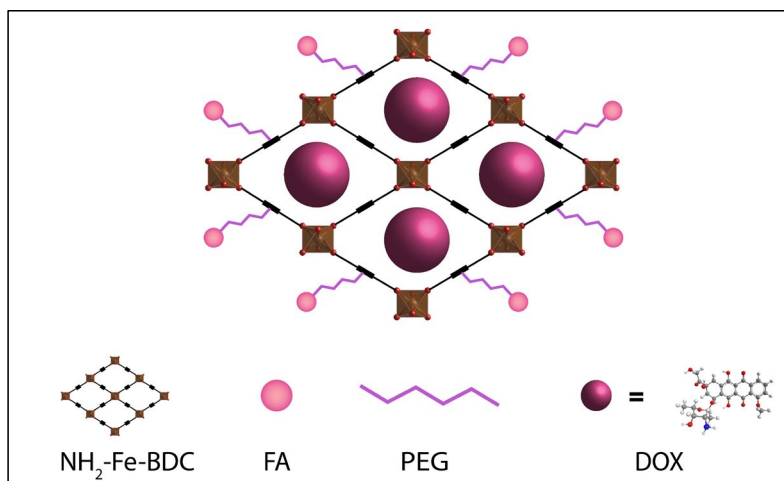
### 220 **3.1. Characterization**

221 The XRD patterns of the samples are shown in Figure 1. The patterns are

222 comparative with previously reported results [31–33]. The characteristic peaks are  
223 detected in the low  $2\theta$  range (5–20°), specifically,  $2\theta = 9, 10, 13, 16$  and 20°.  
224 Furthermore, the XRD patterns of the DOX-loaded PEG–FA-functionalized MOF  
225 exhibited lowered intensity and minor broadening of the signature peaks compared to  
226 the non-functionalized MOF, indicating a smaller crystal size as well as the  
227 encapsulation of DOX molecules without co-crystallization into the MOF nanoparticles  
228 [34]. The successful DOX loading and PEG–FA functionalization were also confirmed  
229 by the change in the particles' color, as shown in the insets of Figure 1. A schematic  
230 representation of the PEG-FA moiety's attachment to the crystal structure as well as  
231 DOX encapsulation in the nanoparticle's pores is presented in Figure 2.



232  
233 Figure 1: XRD patterns of  $\text{NH}_2\text{-Fe-BDC}$  and DOX-loaded PEG–FA- $\text{NH}_2\text{-Fe-BDC}$ . The insets  
234 represent the pictures of the synthesized samples.



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Figure 2: Schematic representation of DOX encapsulation and PEG-FA attachment

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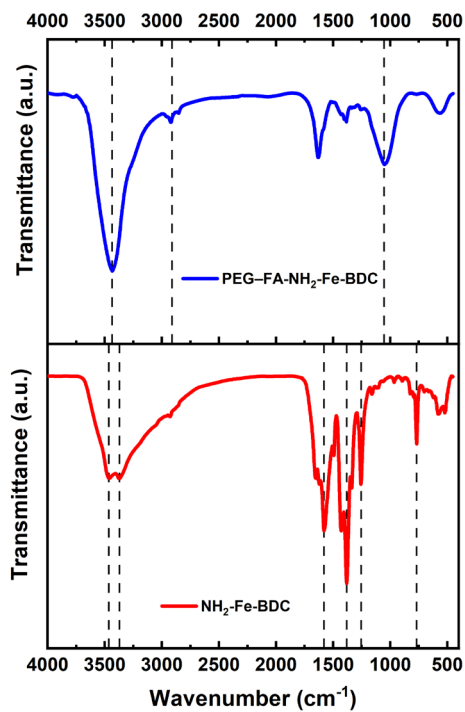
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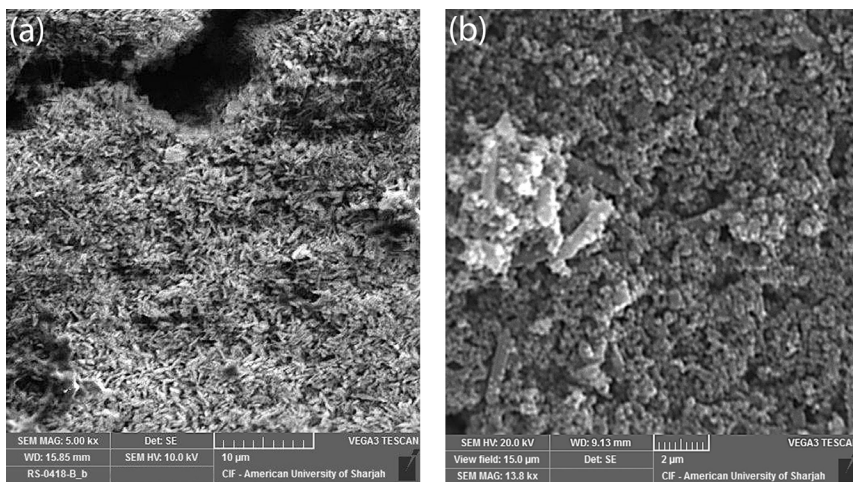
The measured FTIR spectra of the synthesized MOFs in Figure 3 show the characteristic transmittance bands of the  $\text{NH}_2\text{-Fe-BDC}$  and  $\text{PEG-FA-NH}_2\text{-Fe-BDC}$  MOFs. The presence of the double peaks in the  $\text{NH}_2\text{-Fe-BDC}$  IR spectrum at around  $3465$  and  $3370\text{ cm}^{-1}$  can be assigned to the symmetric/asymmetric stretching of the  $\text{NH}_2$  group [5,35,36]. The presence of the carboxylate group of the organic linker can be inferred from the symmetric and asymmetric vibration peaks at around  $1380$  and  $1579\text{ cm}^{-1}$ , respectively [35]. Furthermore, the peak at around  $1254\text{ cm}^{-1}$  corresponds to the bond stretching of the aromatic carbon and nitrogen (C-N), while the peak at around  $769\text{ cm}^{-1}$  is attributed to the Fe-OH bond in the metal cluster unit of the crystal [36]. For the  $\text{PEG-FA-NH}_2\text{-Fe-BDC}$  sample, the IR spectrum show peaks at around  $1054$ ,  $2910$ , and  $3435\text{ cm}^{-1}$  signaling a successful attachment of the PEG-FA group [37].



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Figure 3: FTIR spectra of  $\text{NH}_2\text{-Fe-BDC}$  and  $\text{PEG-FA-NH}_2\text{-Fe-BDC}$



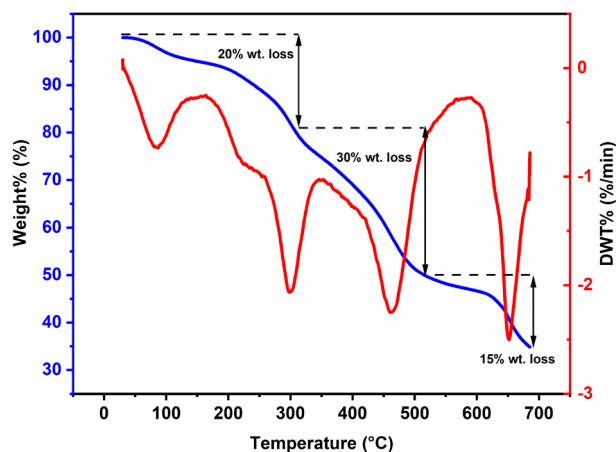
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Figure 4: SEM images of a)  $\text{NH}_2\text{-Fe-BDC}$  and b) Dox-loaded  $\text{PEG-FA-NH}_2\text{-Fe-BDC}$  samples

252 The SEM images in Figure 4 show a narrow needle-shaped crystal for NH<sub>2</sub>-Fe-BDC  
253 nanoparticles (Figure 3a), which is mainly attributed to the NH<sub>2</sub>-BDC ligand in the  
254 framework [38]. The DOX-loaded PEG-FA-NH<sub>2</sub>-Fe-NH<sub>2</sub>-BDC nanoparticles, on the  
255 other hand, have more rounded-shaped crystals with less-defined edges (Figure 3b),  
256 which may be attributed to the PEG-FA conjugation and DOX encapsulation into the  
257 nanoparticles [39].

258 To analyze the thermal stability of the synthesized MOFs, thermogravimetric  
259 analysis (TGA) was performed. Figure 5 represents the percentage weight loss (wt.%)  
260 profile and its derivative as a function of temperature. The first reduction in weight  
261 (20% wt. loss%) in the range 30–300 °C corresponds to the removal of water and DMF  
262 confined within the MOF's pores, while the more pronounced wt.% that appears in the  
263 temperature range 300–700 °C is due to the decomposition of the MOF's framework.  
264 The TGA results demonstrate the good thermal stability of the synthesized MOFs.

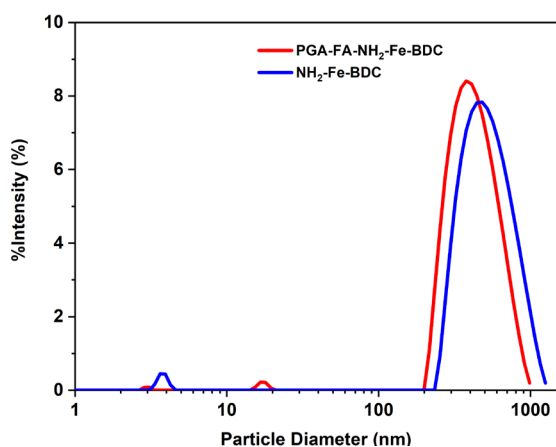


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266 Figure 5: Thermogravimetric analysis (TGA) profile of NH<sub>2</sub>-Fe-BDC

267 The particle size distribution of NH<sub>2</sub>-Fe-BDC and PEG-FA-NH<sub>2</sub>-Fe-BDC was  
268 determined using the DLS measurement of samples dispersed in PBS solutions (pH 7.4,

269 around 24  $\mu\text{g/ml}$ ) and based on a spherical particle-shape assumption. The results are  
270 presented in Figure 6 and show that  $\text{NH}_2\text{-Fe-BDC}$  nanoparticles have an average  
271 hydrodynamic diameter of 577 nm, while the  $\text{PEG-FA-NH}_2\text{-Fe-BDC}$  have an average  
272 hydrodynamic diameter of 461 nm, which are suitable particle diameters for *in vitro*  
273 and *in vivo* applications [40,41]. These results indicate that PEG-FA functionalization  
274 enhanced the nanoparticles' dispersion in the solution, reducing their aggregation and  
275 increasing their colloidal stability [39].



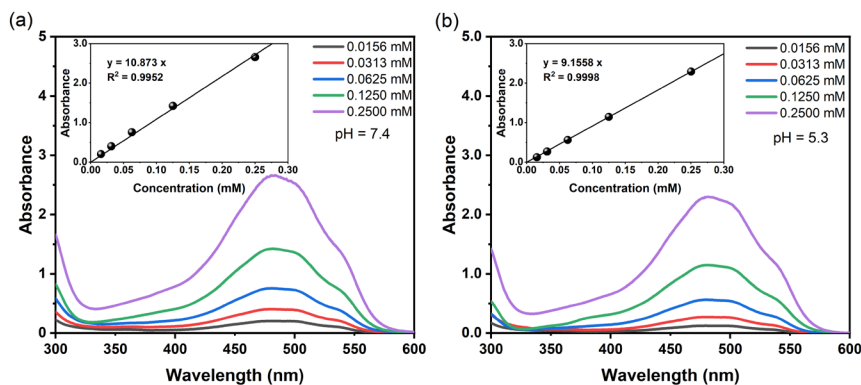
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277 Figure 6: Particle size distribution of  $\text{NH}_2\text{-Fe-BDC}$  and  $\text{PEG-FA-NH}_2\text{-Fe-BDC}$

277

### 278 3.2. DOX encapsulation and *In-vitro* release profiles

279 The DOX concentration in PBS was calculated based on the calibration curve  
280 presented in Figure 7. Then, the loading efficiency and capacity of the MOF samples  
281 were determined by measuring the UV-Vis absorbance spectra of the loading  
282 supernatant after centrifugation and the values were calculated based on equations (1)  
283 and (2). The average DOX loading efficiency and capacity for  $\text{DOX@NH}_2\text{-Fe-BDC}$   
284 were found to be  $\sim 97.4\%$  and  $14.5 \text{ wt.}\%$ , respectively, while the  $\text{DOX@PEG-FA-NH}_2\text{-}$   
285  $\text{Fe-BDC}$  nanoparticles had an average loading efficiency and capacity of  $\sim 97.7\%$  and  
286  $14.5 \text{ wt.}\%$ , respectively.

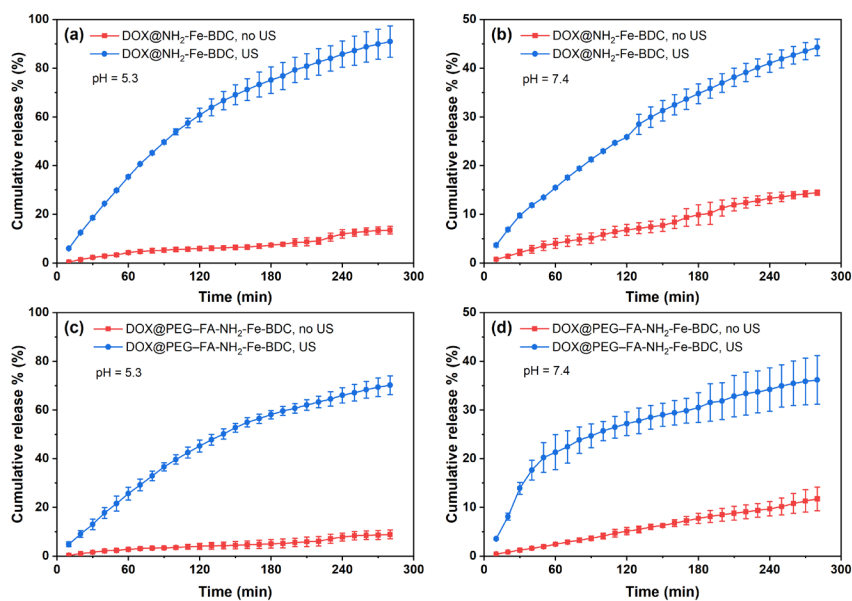


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288 Figure 7: Absorbance spectra of standard DOX solutions in PBS (pH 5.3 and 7.4). Inset is the calibration  
 289 curve

290 The *in-vitro* release experiments were conducted at two different pH conditions,  
 291 7.4 and 5.3, for DOX@NH<sub>2</sub>-Fe-BDC and DOX@PEG-FA-NH<sub>2</sub>-Fe-BDC MOFs. To  
 292 investigate the sonosensitivity of the nanocarriers, release profiles of both DOX-loaded  
 293 MOFs (DOX@NH<sub>2</sub>-Fe-BDC and DOX@PEG-FA-NH<sub>2</sub>-Fe-BDC) were reported with  
 294 and without US at both pH values mentioned above. All experiments were conducted  
 295 in triplicates and the average release and standard deviation were calculated and  
 296 reported accordingly. The cumulative release profiles at pH 5.3 and 7.4 for the  
 297 functionalized and non-functionalized nanocarriers are presented in Figure 8 (a-d). The  
 298 results prove the pH/US dual responsive capability of both DOX@NH<sub>2</sub>-Fe-BDC and  
 299 DOX@PEG-FA-NH<sub>2</sub>-Fe-BDC. The maximum US-triggered DOX release from NH<sub>2</sub>-  
 300 Fe BDC at pH 7.4 was around 44.4% in 280 min, compared to 90.9% at pH 5.3 (Figure  
 301 8(a-b)). Similarly, the maximum US-triggered release from the functionalized MOF  
 302 increased from 36% (pH 7.4) to around 70.2% (pH 5.3) in the same time period (Figure  
 303 8(c-d)). These results demonstrate that the release is more effective at low pH values,  
 304 which is preferable since the cancerous or tumor environment is more acidic compared  
 305 to the healthy tissue, mainly due to anaerobic respiration and lactic acid build-up [20].

306 Moreover, by comparing the release from the DOX@NH<sub>2</sub>-Fe-BDC to that from the  
307 DOX@PEG-FA-NH<sub>2</sub>-Fe-BDC nanoparticles at the same pH, it was noticed that the  
308 functionalized MOFs had a lower *in-vitro* release percentage than the non-  
309 functionalized MOF. This decrease in release may be attributed to the fact that MOF  
310 loading/release efficiency depends on their surface morphology. Functionalization  
311 modifies the surface of the MOF and may, as a result, lower the drug's ability to be  
312 released from the pores of the MOF. Table 1 presents a comparison between various  
313 iron-based MOF nanocarriers based on their encapsulation, release, cytotoxicity, and  
314 targeting functionality.



315  
316 Figure 8: *In-vitro* DOX release profiles. The error bars represent the standard deviation of the three  
317 replicates, while the points represent the average of these three independent replicates

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**Table 1: Summary of the loading capacities, release efficiencies, cytotoxicity, and targeting-functionality of various iron-based MOF nanocarriers**

MOF nanocarrier	Stimulus	Loading capacity (wt.%)	Release rate (%)	Cytotoxicity	Targeting functionality	Reference
Fe-NDC	US	13.37	80% (245 min, PBS, pH 7.4)	Cytotoxicity against MCF-7 cells showed good biocompatibility (95.95-85.11% cell viability at 12.5-200 µg/ml Fe-NDC concentration). Half-maximal inhibitory concentration (IC <sub>50</sub> ) towards MCF-7 cells was 1022 µg/ml.	-	[26]
MIL-100(Fe)	-	9.1	100% (13 days, PBS, pH 7.4)	-	-	[42]
Fe-BTC	-	6.5	69% (16 days, PBS, pH 7.4)	-	-	[43]
MN@Fe-BTC	-	2.2	21% (16 days, PBS, pH 7.4)	-	Magnetic field-targeted drug delivery	
MIL-100(Fe) (DMH NPs)	pH	11 – 32.5 (with different MOF/DOX weight ratio)	66% (60 h, PBS, pH 5.5) 30% (60 h, PBS, pH 7.4)	MCF-7 cells co-incubated with MIL-100 at different concentrations retained high cell viability of about 90% up to 200 µg/ml MIL-100 concentration. DMH NPs exhibited stronger cytotoxicity than DM NPs toward MCF-7 cells within the experimental concentration range (DOX concentration 0.1 to 10 µg/ml).	-	[44]
HA-MIL-100(Fe) (DMH NPs)	pH	11 – 32.5 (with different MOF/DOX weight ratio)	~50% (60 h, PBS, pH 5.5) ~25% (60 h, PBS, pH 7.4)		Hyaluronic acid (HA)-mediated targeting specificity	
NaGdF <sub>4</sub> :Yb/Er@MIL-53(Fe)/FA	pH	16	80% (48 h, PBS, pH 5.2) 67.5% (48 h, PBS, pH 7.4)	Cytotoxicity was tested against B16-F10 cells. For NaGdF <sub>4</sub> :Yb/Er@MIL-53(Fe)/FA, >80% cell viability was observed up to 5 µg/ml concentration. For the DOX-loaded NPs, cell viability was <40% at 5 µg/ml concentration.	Folate receptor targeting specificity	[45]
NH <sub>2</sub> -Fe-BDC	pH and US	14.5	44.4% (280 min, PBS, pH 7.4, US) 90.9% (280 min, PBS, pH 5.3, US)	Cytotoxicity in MCF-7 cells showed excellent biocompatibility. The cell viability was >65% up to 1000 µg/ml NPs concentration	-	This work
PEG-FA-NH <sub>2</sub> -Fe-BDC	pH and US	14.5	36% (280 min, PBS, pH 7.4, US) 70.2% (280 min, PBS, pH 5.3, US)	Cytotoxicity in MCF-7 cells via MTT assay showed 38% cell viability up to 1000 µg/ml NPs concentration	Folate receptor targeting specificity	

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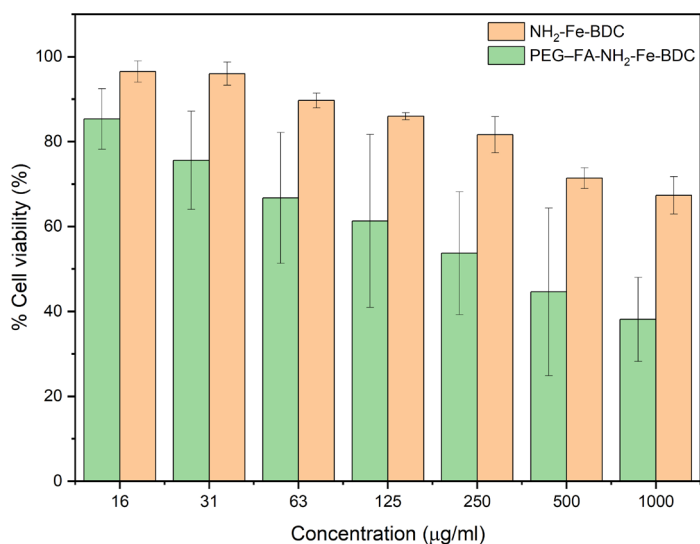
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**Commented [GH1]:** Please use the table in the rebuttal. I made some changes there.

325 **3.3. *In-vitro* cytotoxicity analysis**

326 The cytotoxicity was investigated by treating the MCF-7 cell lines with the MOF  
327 nanoparticles at different concentrations. The results are shown in Figure 9; PEG-FA-  
328 NH<sub>2</sub>-Fe-BDCs were slightly more cytotoxic compared to NH<sub>2</sub>-Fe-BDC. At low  
329 concentrations, the cell viability was high for NH<sub>2</sub>-Fe-BDC, and as the concentration  
330 increased, the viability decreased.



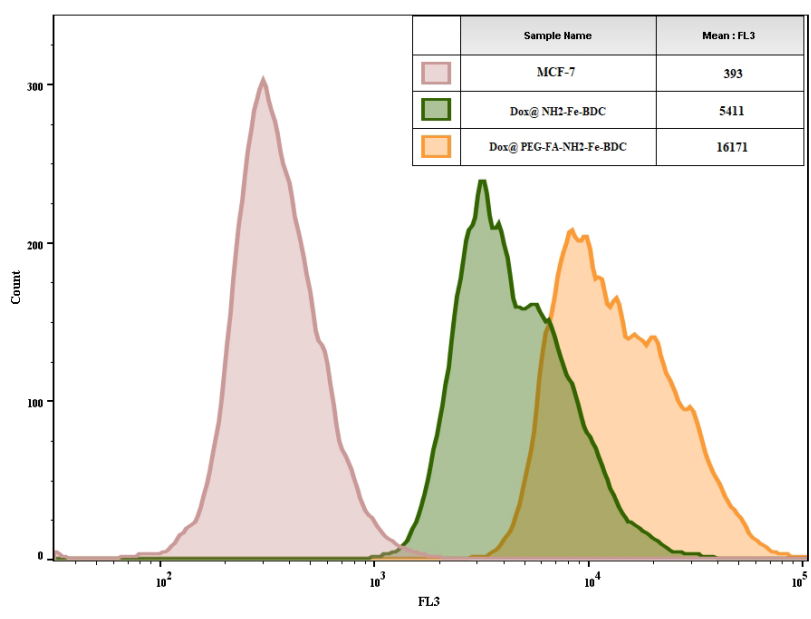
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332 **Figure 9: Cytotoxicity analysis of NH<sub>2</sub>-Fe-BDC and PEG-FA-NH<sub>2</sub>-Fe-BDC via MTT assay**

333 **3.4. Cellular internalization (uptake)**

334 The well plates treated with DOX@PEG-FA-NH<sub>2</sub>-Fe-BDC showed a higher mean  
335 fluorescent intensity compared to DOX@NH<sub>2</sub>-Fe-BDC which relates to a higher uptake  
336 of the MOF nanoparticles by the cell line. The enhanced uptake was due to  
337 overexpressed folate receptors on MCF-7 cell line, binding to the folate moiety  
338 attached to the MOF, the nanoparticles were then taken up by the cells by receptor  
339 mediated endocytosis. On average the cellular internalization measured by the mean

340 fluorescent intensity of cells treated with DOX@PEG-FA-NH<sub>2</sub>-Fe-BDC were 125% ( $\pm$   
341 37%) higher compared to DOX@NH<sub>2</sub>-Fe-BDC (n=3). The average fluorescent  
342 intensity of the different cellular populations treated with DOX@NH<sub>2</sub>-Fe-BDC and  
343 DOX@PEG-FA-NH<sub>2</sub>-Fe-BDC is presented in Figure 10.



344  
345 **Figure 10:** The flow cytometry analysis of the cellular uptake of the DOX-loaded nanoparticles  
346 (Flourescence is the average of three experiments)

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#### 347 4. CONCLUSION

348 In conclusion, an iron-based NH<sub>2</sub>-Fe BDC MOF was successfully synthesized  
349 using microwave-assisted synthesis. In addition, PEG-FA was conjugated to the  
350 surface of the MOF, using a post-synthesis modification technique as a cancer  
351 biomarker targeting ligand. Characterization tests were performed, including FTIR,  
352 TGA, and DLS to analyze the morphology, thermal stability, and particle size  
353 distribution of the MOF samples. The results of the characterization tests showed the  
354 successful synthesis and the successful PEG-FA attachment, in addition to the excellent

355 thermal stability and a suitable particle diameter for *in vitro* and *in vivo* applications.  
356 The chemotherapeutic drug DOX was successfully loaded in the MOF. The MOF  
357 achieved an appropriate DOX loading efficiency of ~ 97% and 14.5 wt.% loading  
358 capacity. The use of ultrasound was utilized as an external release stimulus. The *in-*  
359 *vitro* release profiles in PBS were obtained at pH levels of 5.3 and 7.4 at 37 °C. The  
360 cumulative DOX release efficiencies with US were around 44.4 % and 90% at pH levels  
361 of 7.4 and 5.3, respectively. Moreover, the PEG–FA functionalization was investigated  
362 as a targeting mechanism for cancerous tissues in conditions mimicking the tumor  
363 microenvironment. The *in-vitro* cumulative DOX release of PEG–FA-NH<sub>2</sub>-Fe-BDC  
364 was around 70.2% and 36 % at pH levels of 5.3 and 7.4, respectively. The MTT results  
365 confirmed the low toxicity of these nanocarriers at concentrations relevant to their use  
366 *in vivo* and future clinical trials, while flow cytometry indicated the higher drug  
367 internalization and hence performance of DOX@PEG-FA-NH<sub>2</sub>-Fe-BDC compared to  
368 DOX@NH<sub>2</sub>-Fe-BDC. This study showed the pH/US dual-responsive capability of  
369 NH<sub>2</sub>-Fe-BDC and PEG–FA-NH<sub>2</sub>-Fe-BDC.

370

#### 371 **Acknowledgment:**

372 The work was supported by the American University of Sharjah via the faculty  
373 research grants [grant numbers: EFRG18-BBR-CEN-03, FRG20-M-E84, FRG20-L-  
374 E48]. The authors gratefully acknowledge the help of the Advanced Materials Research  
375 Lab at the University of Sharjah in conducting XRD and FTIR characterization tests.

#### 376 **Conflict of Interest**

377 The authors declare no conflict of interest. The authors declare no competing  
378 financial interest.

379

380 **REFERENCES**

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